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recently adopted rules

regulator	release date	release no.	description
SEC	10/01/2008	Release No. 34-58711	The SEC has extended its order banning naked short selling in shares of certain financial companies.
SEC	10/01/2008	Release No. 34-58703	The SEC has extended its order temporarily broadening Exchange Act Rule 10b-18's safe harbor from liability for issuer repurchases.
SEC	9/26/2008	SR-FINRA-2008-030	The SEC has approved FINRA's incorporation of its rules regarding supervisory controls into the consolidated FINRA rulebook.
SEC	9/26/2008	SR-FINRA-2008-027	The SEC has approved FINRA's incorporation of its rules regarding influencing employees of others and transactions involving FINRA employees into the consolidated FINRA rulebook.

proposed rules

regulator	release date*	release no.	description
FINRA	9/25/2008	SR-FINRA-2008-044	FINRA is proposing to amend NASD and NYSE rules related to supervision of market letters.

FINRA

9/25/2008

[SR-FINRA-2008-047](#)

FINRA is proposing to amend NASD Rule 12401 of the Code of Arbitration Procedure for Customer Disputes and NASD Rule 13401 of the Code of Arbitration Procedure for Industry Disputes to raise the amount in controversy that will be heard by a single chair-qualified arbitrator to \$100,000.

* With regard to rule proposals of SROs, the date represented is the date of the SEC release seeking comment except where the SEC has not yet published the rule for comment, in which case it is the date the SRO submitted the proposal to the SEC.

notices to members

regulator	release date	release no.	description
FINRA	10/01/2008	Regulatory Notice 08-52	FINRA is informing its members that member firms will no longer report yield to TRACE and FINRA will calculate and disseminate a Standard Yield in TRACE data.
FINRA	9/26/2008	Regulatory Notice 08-51	FINRA is informing its members of amendments to FINRA's transaction reporting rules to eliminate the different reporting and dissemination treatment of transactions in OTC Equity Securities.

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