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### recently adopted rules

regulator	release date	release no.	description
<b>NASDAQ</b>	6/17/2008	<a href="#">SR-NASDAQ-2008-037</a>	The SEC has approved NASDAQ's proposal to eliminate the requirement of round lot holders and to replace it with different requirements, and to adopt new definitions relating to the proposed changes.
<b>NASDAQ</b>	6/17/2008	<a href="#">SR-NASDAQ-2008-052</a>	NASDAQ has proposed a rule change to modify the opening of trading on the NASDAQ Options Market. This rule is effective immediately.
<b>FINRA</b>	6/13/2008	<a href="#">SR-NASD-2006-005</a>	The SEC has approved FINRA's proposed rule change to expand the coverage of NASD Rule 2440 and IM-2440, relating to fair prices and commissions to all securities transactions that involve SEC members and their customers.

### proposed rules

regulator	release date*	release no.	description
<b>SEC</b>	6/16/2008	<a href="#">Release No. 34-57967</a>	The SEC is proposing a series of new requirements designed to address the concerns raised about NRSROs, in light of the part they played in making, packaging, and selling subprime loans.

\* With regard to rule proposals of SROs, the date represented is the date of the SEC release seeking comment, except where the SEC has not yet published the rule for comment. In that case, the date represented is the date the SRO submitted the proposal to the SEC.

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