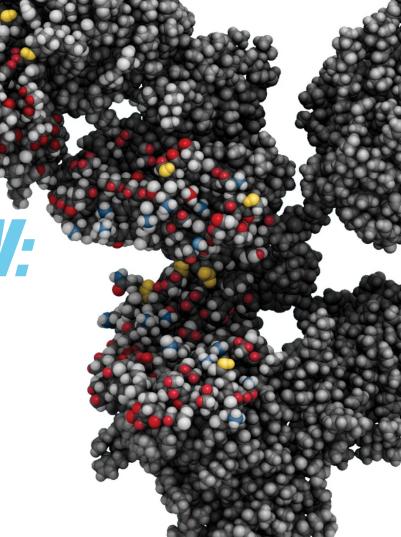
Morgan Lewis

BLOCKBUSTER BIOLOGICS REVIEW: QUARTERLY UPDATE

Christopher Betti, Ph.D. Robin Silva

July 2018



Quarterly IPR and Patent Litigation Update

- > Welcome to our ongoing updates relating to IPR and patent litigation challenges to blockbuster biologics. We hope you find this 2Q 2018 update informative and, as always, please feel free to reach out to us with any questions.
 - Chris and Robin

IPRS

IPRs

- > In USPTO fiscal year 2018, 17 IPRs have been denied institution, while 12 have been instituted. Consequently, the current institution rate for IPR challenges to biologics patents is 52%. Further, of those IPRs instituted and that have gone to final written decision (FWD), 58% have resulted in more than one claim being held unpatentable.
- Some other highlights in Q2 2018:

> **RITUXAN:** Two IPRs filed by Sandoz against a patent with claims to a method of treating rheumatoid arthritis by administering two intravenous doses of 1000 mg were denied institution.

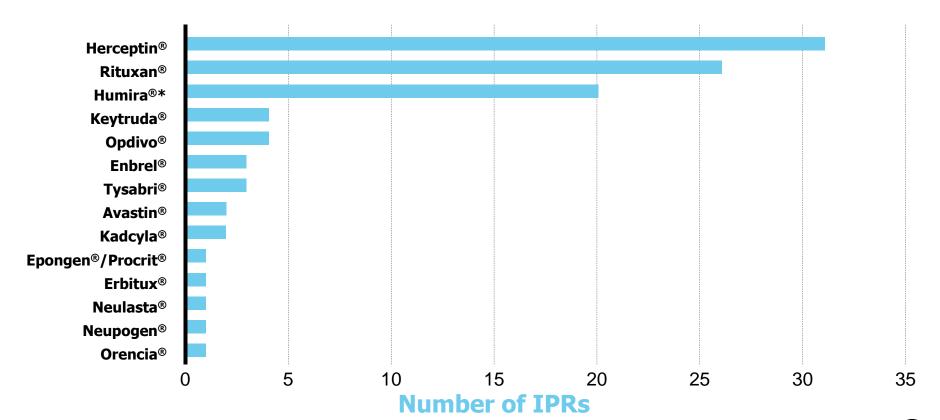
> **RITUXAN:** An IPR filed by Celltrion and an IPR filed by Pfizer directed to a method of treating chronic lymphocytic leukemia were both denied institution.

> **RITUXAN:** An IPR filed by Pfizer challenging claims directed to a method of treating low-grade B-cell non-Hodgkin's lymphoma was instituted.

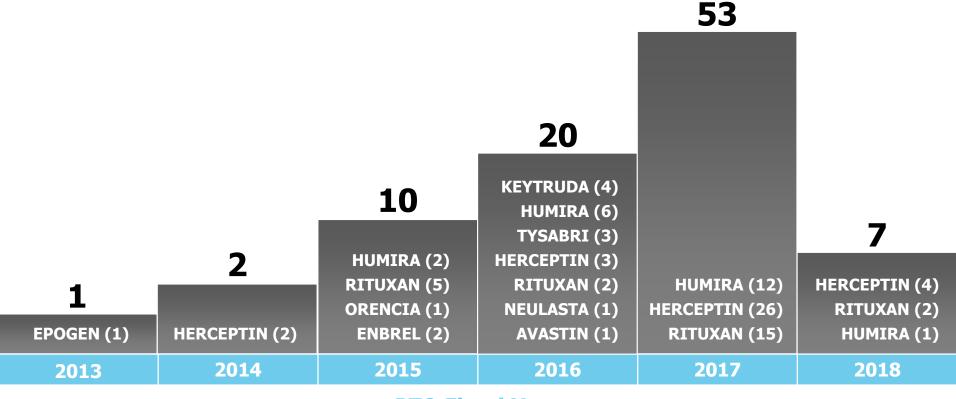
> **HUMIRA:** Sandoz's request for rehearing of a IPR challenging claims directed to a method of treating chronic plague psoriasis was denied.

> **HERCEPTIN:** An IPR filed by Samsung Bioepsis and an IPR filed by Pfizer challenging claims directed to a method for treating a malignant progressing tumor or cancer characterized by overexpression of ErbB2 receptor were both denied institution.

Biologics-Related IPRs by Reference Product

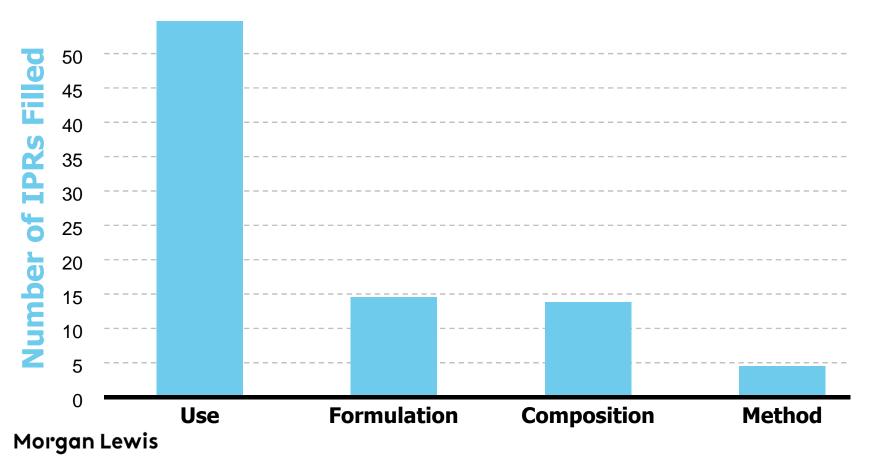


Blockbuster Biologics: IPR Timeline



PTO Fiscal Year (September–October)

Types of Claims Being Challenged



Blockbuster Biologics: IPR Scorecard

Product (# IPRs)	Challenger	Pend. Inst.	Pet. Not Inst.	Sett. Term.	Inst.	FWD (invalid)	FWD (upheld)
	Amgen	0	2	-	<u>=</u>	<u>=</u>	-
Humira (21)	BI	0	-	-	2	2	-
nullilla (21)	Coherus	0	5	2	3	3	-
	Sandoz	0	5	-	2	-	-
	BI	0	1	2		-	_
Rituxan (24)	Celltrion	0	6	2	(2)		<u> </u>
Kitukaii (27)	Pfizer	1	4	-	4		1
	Sandoz	0	2	-	Ä	-	i i
	Phigenix	0	1	-	1		1
	Mylan	0	-	2		_	-
	Hospira	0	2	-	4	1	-
Herceptin (35)	Celltrion	0	-	1	6	-	-
	Pfizer	2	3	-	5	-	-
	Samsung	0	1	-	5	-	-
	BI	0	-	-	2	-	-
Tysabri (3)	Swiss Pharma	0	3	-	-	-	-
Avastin (1)	Hospira	0	-	-	1	-	ė
Orencia (1)	Momenta	0	-	-	<u> </u>		→ ①
Neulasta (1)	Apotex	0	-	-	①	→ 0	→ ①
Enhant (2)	Kyle Bass	0	1	-	-	-	-
Enbrel (3)	Coherus	2	-	-	-	-	-
Epogen (1)	Hospira	0	-	1	-	-	-
Keytruda (4)	Merck	0	0	4	-	-	-
TOT	ALS	5	36	14	39	7	5

US BIOSIMILAR-RELATED PATENT LITIGATIONS

US Biosimilar Litigations

- > 2018 has been quite active on the litigation front particularly with respect to the blockbuster biologics Rituxan and Herceptin.
- > Interestingly, compliance with the "patent dance" procedures continues to impact litigation strategy for both brand holders and biosimilar applicants. For example, Genentech recently filed duplicative lawsuits against Celltrion and Teva related to Rituxan and Herceptin alleging infringement of the same patents it asserted in earlier lawsuits. In letters to the Court, Genentech explains that Celltrion and Teva are trying to resurrect certain information exchange procedures of the "patent dance" that, if revived, could trigger a deadline for Genentech to file new lawsuits. Thus, Genentech asserts that these duplicative lawsuits were filed out of "an abundance of caution" to ensure that it does not face any penalties for failure to comply with provisions of the BPCIA.

US Biosimilar Litigations

- > Some additional highlights in 2018:
 - > **Humira Litigation:** *Amgen v. AbbVie* Settled litigation over Humira biosimilar US launch of biosimilar delayed until Jan. 31, 2023
 - > **Neupogen Litigation:** *Amgen v. Adello Biologics*, No. 18-3347-JMV-SCM (D.N.J.) BPCIA complaint filed Mar. 8, 2018

Product (# litigations)	Parties	Case No. / Jurisdiction	# of Asserted Patents	Types of Claims	Status
	AbbVie v. Amgen	No. 16-666-MSG (D. Del.)	10	M, F, U, C	Settled – US launch of Amjevita expected Jan. 31, 2023
Humira (3)	AbbVie v. Boehringer Ingelheim	No. 17-1065-SLR (D. Del.)	8	M, F, U, C	In discovery - Expert discovery will close on May 29, 2020
	Genentech v. Sandoz	No. 17-13507-RMB-KMW (D.N.J.)	24	M, U, C	Initial pleadings filed
	Celltrion v. Genentech	No. 18-276-JSW (N.D. Cal.)	37	M, U	Genentech's motion to dismiss granted
Rituxan (4)	Genentech v. Celltrion	No. 18-574-RMB-KMW (D.N.J.)	40	M, U, C	Initial pleadings filed
	Genentech v. Celltrion	No. 18-11553 (D.N.J.)	18 Claims mirror those of No. 18-574 – filed to ensure compliance with BPCIA	M, U, C	Complaint filed

			30101011		
Product (# litigations)	Parties	Case No. / Jurisdiction	# of Asserted Patents	Types of Claims	Status
	Genentech v. Pfizer	No. 17-1672-GMS (D. Del.)	40	M, U, C	Early discovery
	Celltrion v. Genentech	No. 18-274-JSW (N.D. Cal.)	38	M, U, C	Genentech's motion to dismiss granted
Herceptin (5)	Genentech v. Celltrion	No. 18-095-GMS (D. Del.)	40	M, U, C	Initial pleadings stage
	Genentech v. Amgen	No. 18-924 (D. Del.)	37	M, U, C	Complaint filed
	Genentech v. Celltrion	No. 99-cv-de822 (D. Del.)	40	M, U, C	Complaint filed
Neupogen (3)	Amgen v. Sandoz	No. 13-04741-RS (N.D. Cal.) No. 15-1499 (Fed. Cir.) No. 15-1039, -1195 (Supreme Court)	1	М	Complaint alleged Sandoz violated the BPCIA by 1) failing to provide its aBLA and manufacturing information within 20 days of FDA acceptance and 2) providing notice of commercial marketing before FDA approval of its aBLA. District Court ruled in favor of Sandoz. On appeal Federal Circuit and Supreme Court did the same. District Court subsequently granted Sandoz's motion for summary judgment of non-infringement. Currently on appeal.

Product (# litigations)	Parties	Case No. / Jurisdiction	# of Asserted Patents	Types of Claims	Status
Neupogen (3)	Amgen v. Apotex	No. 15-62081-JIC (S.D. Fla.)	2	M, C	Consolidated with Amgen v. Apotex pegfilgrastim (Neulasta) litigation where District Court entered judgment of non-infringement for Sandoz. Currently on appeal.
	Amgen v. Adello	No. 18-3347-JMV-SCM (D.N.J.)	17	М	Initial pleadings phase
Neulasta (5)	Amgen v. Apotex	No. 15-61631-JIC (S.D. Fla.) No. 16-1308 (Fed. Cir.) No. 17-1010 (Fed. Cir.) No. 16-332 (Supreme Court)	2	M, F	Amgen found not to infringe. Supreme Court denied Apotex's petition for certiorari. Fed. Cir. affirmed district court ruling District Court held: 1) Granted Amgen's motion for summary judgment re: invalidity defenses except nonenablement 2) Judgment of non-infringement for Apotex 3) Dismissed Apotex's nonenablement defense without prejudice.

Product (# litigations)	Parties	Case No. / Jurisdiction	# of Asserted Patents	Types of Claims	Status
	Amgen v. Sandoz	No. 16-1276-SRC-CLW (D.N.J.)	Litigation over whether Sandoz violated BPCIA	NA	Dismissed after Sandoz restarted patent dance negotiations.
	Amgen v. Sandoz	No. 3:16-cv-02581-RS (N.D. Cal.) No. 18-1552 (Fed. Cir.)	2	M, F	On appeal. Summary judgment of non- infringement granted for Sandoz.
Neulasta (5)	Amgen v. Coherus	No. 17-546-LPS (D. Del.) No. 18-1993 (Fed. Cir.) (appeal pending)	1	М	Court granted Coherus's motion to dismiss for failure to state a claim. Judgment entered against Amgen and case dismissed.
	Amgen v. Mylan	No. 17-1235-MRH (W.D. Pa.)	2	М	Mylan moved for judgment on the pleadings.
Enbrel (1)	Immunex v. Sandoz	No. 16-01118-CCC-JBC (D.N.J.)	5	C, F, U	Amgen moved for summary judgment of infringement.
Epogen (1)	Amgen v. Hospira	No. 15-839-RGA (D. Del.) No. 16-2179 (Fed. Cir.) (appeal was dismissed)	2	C, M	Jury found infringement and awarded \$70M in damages; post-trial motions pending.

Product (# litigations)	Parties	Case No. / Jurisdiction	# of Asserted Patents	Types of Claims	Status
	Genentech v. Amgen	No. 17-165-GMS (D. Del.)	Litigation over violations of the BPCIA	NA	Dismissed complaint without prejudice.
Avastin (3)	Amgen v. Genentech	No. 17-7349-GW-AGR (C.D. Cal.)	27	M, C, F, U	Genentech's motion to dismiss for lack of subject matter jurisdiction granted.
	Genentech v. Amgen	No. 17-1407-GMS (D. Del.) No. 17-1471-GMS (D. Del.)		M, C, F, U	Early pleadings and discovery.
Remicade (5)	Janssen v. Celltrion	No. 15-10698-MLW (D. Mass.) No. 17-1120 (Fed. Cir.)	2	C, U	Partial summary judgment of invalidity granted with respect to one patent ('471 patent). Fed. Cir. dismissed appeal as moot upon affirming decision in appeal (No. 17-1257) from ex parte reexamination ruling by USPTO that same patent's claims are unpatentable for double patenting. Dismissed without prejudice in favor of Case No. 17-11008.

Product (# litigations)	Parties	Case No. / Jurisdiction	# of Asserted Patents	Types of Claims	Status
	Janssen v. Celltrion	No. 16-11117-MLW (D. Mass.)	1	M (cell culture media)	Dismissed without prejudice in favor of Case No. 17-11008.
	Janssen v. HyClone	No. 16-00071-BCW (D. Utah)	1	M (cell culture media)	Stayed pending resolution of D. Mass. Case.
Remicade (5)	Janssen v. Celltrion	No. 17-11008 (D. Mass.)	1	M (cell culture media)	Discovery and pre-trial activities.
	Janssen v. Samsung Bioepis	No. 17-3524-MCA-SCM (D.N.J.)	3	М	Janssen voluntarily dismissed its patent infringement claims. Suit dismissed with prejudice.

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APPENDIX

Legend

P	Petitioner
PO	Patent Owner
2- Consid.	Secondary Considerations raised by Patent Owner to support nonobviousness
U	Use
F	Formulation
С	Composition
M	Method
FWD	Final Written Decision
Pending	IPR has been instituted and is pending an FWD
Pending Inst. Dec.	IPR has been filed and is pending a decision on institution
Institution Denied	PTAB has denied institution of IPR
3/W	Joined with

HUMIRA

Humira-Related IPRs

> 21 IPRs filed challenging 13 different patents

2016-00189

AbbVie Patent	Challenger(s)	IPR No.	# P / PO Experts	2- Consid.	Claim Type	Status
8,916,157	Amgen	2015-01514	1/0	Υ	F (20-150 mg)	Institution Denied
8,916,158	Amgen	2015-01517	1/0	Υ	F (20-150 mg)	Institution Denied
8,889,135	 Coherus Boehringer Ingelheim Boehringer Ingelheim 	 2016-00172 2016-00408 2016-00409 	 2/5 2/5 2/5 	1) Y 2) Y 3) Y	1) U (RA) 2) U 3) U	 FWD- Claims Invalid FWD- Claims Invalid FWD- Claims Invalid
9,017,680	Coherus	2016-00188	3/5	Υ	U (RA)	FWD- Claims Invalid

3/5

Morgan Lewis

Coherus

9,073,987

FWD- Claims Invalid

U (RA)

Humira-Related IPRs

> 21 IPRs filed challenging 13 different patents

AbbVie Patent	Challenger(s)	IPR No.	# P / PO Experts	2- Consid.	Claim Type	Status
9,114,166	Coherus	2016-01018	2/0	Υ	F (50 mg)	Institution Denied
9,085,619	Coherus	1) 2017-00822 2) 2017-00823 3) 2017-00826 4) 2017-00827 5) 2017-01008 6) 2017-01009	1) 1/0 2) 1/0 3) 2/NA 4) 2/NA 5) 2/0 6) 2/0	1) Y 2) N 3) Y 4) Y 5) Y 6) Y	F (Bufferless)	1-2) Institution Denied 3-4) IPRs Dismissed April 11, 2017 * 5-6) Institution Denied
9,067,992	Sandoz	2017-02106	1/NA	Υ	U (Psoriatic Arthritis)	Instituted
8,911,737	Sandoz	2017-01987	6/NA	Υ	U (Crohn's)	Institution Denied
8,974,790	Sandoz	2017-01988	6/NA	Υ	U (UC)	Institution Denied
9,090,689	Sandoz	2017-02105	3/NA	Υ	U (Plaque psoriasis)	Instituted

Humira

Humira-Related IPRs

> 21 IPRs filed challenging 13 different patents

AbbVie Patent	Challenger(s)	IPR No.	# P / PO Experts	2- Consid.	Claim Type	Status
8,802,100	Sandoz	2017-01823	5/NA	N	F (45-150 mg)	Institution Denied
9,512,216	Sandoz	1) 2017-01824	1) 5/NA	1) Y	U (plaque psoriasis)	1) Institution Denied
		2) 2018-00002	2) 2/NA	2) Y		2) Institution Denied

Humira

8,916,157 Patent IPR

Representative Claim

- A stable liquid aqueous pharmaceutical formulation comprising
 - a) a human IgG1 anti-human Tumor Necrosis Factor alpha (TNFa) antibody, or an antigen-binding portion thereof, at a concentration of 20 to 150 mg/ml,
 - b) a tonicity agent,
 - c) a surfactant, and
 - d) a buffer system having a pH of 4.0 to 8.0, wherein the antibody comprises the light chain variable region (LCVR) and the heavy chain variable region (HCVR) of D2E7.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Amgen	2015-01514	1-8, 10-13, 15-30	None	1/0	Y	F	Institution Denied

8,916,158 Patent IPR

Representative Claim

- A stable liquid aqueous pharmaceutical formulation comprising
 - a) a human IgG1 anti-human TNFa antibody, or an antigen-binding portion thereof, at a concentration of 20 to 150 mg/ml,
 - b) a tonicity agent,
 - c) a surfactant, and
 - d) a buffer system having a pH of 4.0 to 8.0, wherein the antibody comprises the LCVR and HCVR of D2E7.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Amgen	2015-01514	1-8, 10-13, 15-30	None	1/0	Y	F	Institution Denied

8,889,135 Patent IPRs

Representative Claim

1. A method for treating rheumatoid arthritis in a human subject by administering subcutaneously a total body dose of 40 mg of a human anti-TNFa antibody once every 13–15 days for a period sufficient to treat the rheumatoid arthritis, wherein the anti-TNFa antibody comprises an IgG1 heavy chain constant region; a variable light (VL) chain region comprising a CDR1 having the amino acid sequence of SEQ ID NO:7, a CDR2 having the amino acid sequence of SEQ ID NO:3; and a variable heavy (VH) chain region comprising a CDR1 having the amino acid sequence of SEQ ID NO:8, a CDR2 having the amino acid sequence of SEQ ID NO:6, and a CDR3 having the amino acid sequence of SEQ ID NO:4.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Coherus	2016-00172	1-5	§ 103 for all claims	2/5	N	U	FWD- Claims Invalid
Boehringer Ingelheim	2016-00408	1-5	§ 103 for all claims	2/5	Υ	U	FWD- Claims Invalid
Boehringer Ingelheim	2016-00409	1-5	§ 103 for all claims	2/5	Y	U	FWD- Claims Invalid

9,017,680 Patent IPR

Representative Claim

- 1. A method of reducing signs and symptoms in a patient with moderately to severely active rheumatoid arthritis, comprising:
 - a) administering to said patient, in combination with methotrexate, a human anti-TNFa antibody,
 - b) wherein the human anti-TNFa antibody is administered subcutaneously in a total body dose of 40 mg once every 13–15 days, and
 - wherein the anti-TNFa antibody comprises an IgG1 heavy chain constant region; a VL chain region comprising a CDR1 having the amino acid sequence of SEQ ID NO:7, a CDR2 having the amino acid sequence of SEQ ID NO:3; and a VH chain region comprising a CDR1 having the amino acid sequence of SEQ ID NO:8, a CDR2 having the amino acid sequence of SEQ ID NO:4.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Coherus	2016-00188	1-4	§ 103 for all claims	3/5	N	U	FWD- Claims Invalid

9,017,680 Patent IPR

Representative Claim

- 1. A method of reducing signs and symptoms in a patient with moderately to severely active rheumatoid arthritis, comprising:
 - a) administering to said patient, in combination with methotrexate, a human anti-TNFa antibody,
 - b) wherein the human anti-TNFa antibody is administered subcutaneously in a total body dose of 40 mg once every 13–15 days, and
 - wherein the anti-TNFa antibody comprises an IgG1 heavy chain constant region; a VL chain region comprising a CDR1 having the amino acid sequence of SEQ ID NO:7, a CDR2 having the amino acid sequence of SEQ ID NO:3; and a VH chain region comprising a CDR1 having the amino acid sequence of SEQ ID NO:8, a CDR2 having the amino acid sequence of SEQ ID NO:4.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Coherus	2016-00188	1-2	§ 103 for all claims	3/5	N	U	FWD- Claims Invalid

9,114,166 Patent IPR

Representative Claim

1. A stable liquid aqueous pharmaceutical formulation comprising a human anti-human TNFa IgG1 antibody at a concentration of 50 mg/ml, wherein the antibody comprises the LCVR and HCVR of D2E7, and a buffer system; wherein the formulation is isotonic, is suitable for single-use subcutaneous injection, and has a pH of 4.0 to 8.0.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Coherus	2016-01018	1-4, 6-10, 13- 16, 23-26, 28	None	2/0	Y	F	Institution Denied

9,085,619 Patent IPRs

Representative Claim

- **16.** An aqueous pharmaceutical formulation comprising:
 - an anti-TNFa antibody comprising an LCVR having a CDR3 domain comprising the amino acid sequence of SEQ ID NO:3, a CDR2 domain comprising the amino acid sequence of SEQ ID NO:7, and an HCVR having a CDR3 domain comprising the amino acid sequence of SEQ ID NO:4, a CDR2 domain comprising the amino acid sequence of SEQ ID NO:6, and a CDR1 domain comprising the amino acid sequence of SEQ ID NO:8, wherein the concentration of the antibody is 50 to 200 mg/ml; and
 - b) water; wherein the formulation does not comprise a buffering system.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Coherus	2017-00822	16-19, 24-30	NA	1	Y	F	Institution Denied
Coherus	2017-00823	16-19, 24-30	NA	1	N	F	Institution Denied

Humira

9,085,619 Patent IPRs

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Coherus	2017-00826	16-19, 24-30	NA	2/NA	Υ	F	Dismissed
Coherus	2017-00827	16-19, 24-30	NA	2/NA	Υ	F	Dismissed
Coherus	2017-01008	16-19, 24-30	NA	2/1	Y	F	Institution Denied
Coherus	2017-01009	16-19, 24-30	NA	2/1	Y	F	Institution Denied

9,067,992 Patent IPR

Representative Claim

1. A method of treatment of moderate to severe active psoriatic arthritis in adult patients, wherein each said patient has ≥3 swollen and ≥3 tender joints prior to the treatment and has failed NSAID therapy, comprising subcutaneously administering to each said patient 40 mg of adalimumab every other week, wherein 23% of said patients achieve 70% reduction in American College of Rheumatology (ACR) score at week 24 of the treatment.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Sandoz	2017-02106	1, 2, 5-7	§ 102 for claims 1, 5, 6; § 103 for all claims	1/NA	Y	U	Instituted

8,911,737 Patent IPR

Representative Claim

1. A method for treating Crohn's disease in a human subject by administering subcutaneously a total body dose of 40 mg of a human anti-TNFa antibody once every 13–15 days for a period sufficient to treat Crohn's disease, wherein the anti-TNFa antibody comprises an IgG1 heavy chain constant region; a VL chain region comprising a CDR1 having the amino acid sequence of SEQ ID NO:7, a CDR2 having the amino acid sequence of SEQ ID NO:5, and a CDR3 having the amino acid sequence of SEQ ID NO:8, a CDR2 having the amino acid sequence of SEQ ID NO:6, and a CDR3 having the amino acid sequence of SEQ ID NO:4.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Sandoz	2017-01987	1-6	NA	6/NA	Y	U	Institution Denied

8,974,790 Patent IPR

Representative Claim

1. A method for treating ulcerative colitis in a human subject by administering subcutaneously a total body dose of 40 mg of a human anti-TNFa antibody once every 13–15 days for a period sufficient to treat the ulcerative colitis, wherein the anti-TNFa antibody comprises an IgG1 heavy chain constant region; a VL chain region comprising a CDR1 having the amino acid sequence of SEQ ID NO:7, a CDR2 having the amino acid sequence of SEQ ID NO:5, and a CDR3 having the amino acid sequence of SEQ ID NO:8, a CDR2 having the amino acid sequence of SEQ ID NO:6, and a CDR3 having the amino acid sequence of SEQ ID NO:4.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Sandoz	2017-01988	1-6	NA	6/NA	Y	U	Institution Denied

9,090,689 Patent IPR

Representative Claim

 A method of administering adalimumab for treatment of moderate to severe chronic plaque psoriasis by filling adalimumab into vessels and subcutaneously administering 40 mg of said adalimumab every other week.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Sandoz	2017-02105	1, 4, 7, 10, 13, 16, 19	§ 103 for all claims	3/NA	Y	U	Instituted

8,802,100 Patent IPR

Representative Claim

- 1. A stable liquid aqueous pharmaceutical formulation comprising
 - a) a human IgG1 anti-human TNFa antibody, or an antigen-binding portion thereof, at a concentration of 45 to 150 mg/ml,
 - b) a polyol,
 - c) a polysorbate at a concentration of 0.1 to 10 mg/ml, and
 - d) a buffer system having a pH of 4.5 to 7.0, wherein the antibody comprises the LCVR and HCVR of D2E7.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Sandoz	2017-01823	1-29	NA	4/NA	N	F	Institution Denied

9,512,216 Patent IPR

Representative Claim

1. A method for treating moderate to severe chronic plaque psoriasis by subcutaneously administering to an adult patient an initial dose of 80 mg of adalimumab, followed by 40 mg of adalimumab every other week, starting one week after said first dosing, wherein the patient achieves at least Psoriasis Area and Severity Index (PASI) 75 response at week 12 of the treatment.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Sandoz	2017-01824	1-16	NA	5/NA	Y	U	Institution Denied
Sandoz	2018-00002	1-16	NA	2/NA	Y	U	Institution Denied

RITUKAN

Rituxan

Rituxan-Related IPRs

> 24 IPRs filed challenging 8 different patents

Genentech Patent	Challenger(s)	IPR No.	# P / PO Experts	2- Consid.	Claim Type	Status
7,820,161	1) BI	1) 2015-00415	1) 1/0	1) Y	1) U (RA)	1) Petitioner's
	2) Celltrion	2) 2015-01744	2) 1/0	2) NA	2) U	adverse judgment 2) Petitioner filed motion to dismiss
	3) Celltrion	3) 2016-01614	3) 2/NA	3) NA	3) U	3) FWD- Claims Valid
	4) Pfizer	4) 2017-01115	4) 3/NA	4) Y	4) U	4) FWD- Claims Valid (J/W `614)
7,976,838	1) BI	1) 2015-00417	1) 1/0	1) Y	1) U (RA)	1) Petitioner's adverse judgment
	2) Celltrion	2) 2015-01733	2) 1/0	2) NA	2) U	2) Petitioner filed
	3) Celltrion	3) 2016-01667	3) 2/NA	3) NA	3) U	motion to dismiss 3) Institution Denied
	4) Pfizer	4) 2017-01923	4) 3/NA	4) Y	4) U	4) Instituted
	5) Sandoz	5) 2017-02042	5) 2/NA	5) Y	5) U	5) Institution Denied
	6) Sandoz	6) 2017-02036	6) 2/NA	6) Y	6) U	6) Institution Denied

Rituxan-Related IPRs

> 24 IPRs filed challenging 8 different patents

Genentech Patent	Challenger(s)	IPR No.	# P / PO Experts	2- Consid.	Claim Type	Status
8,329,172	1) BI	1) 2015-00418	1) 1/0	1) Y	1) U (lymphoma)	1) Institution Denied
	2) Celltrion	2) 2017-01093	2) 2/NA	2) Y	2) U	2) Institution Denied
	3) Pfizer	3) 2017-01166	3) 2/NA	3) Y	3) U	3) Institution Denied
	4) Pfizer	4) 2018-00285	4) 3/0	4) Y	4) U	4) Instituted
8,557,244	1) Celltrion	1) 2017-01094	1) 2/0	1) Y	1) U (lymphoma)	1) Institution Denied
	2) Pfizer	2) 2017-01167	2) 2/0	2) Y	2) U	2) Institution Denied
9,296,821	1) Celltrion	1) 2017-01095	1) 2/0	1) Y	1) U (lymphoma)	1) Instituted
	2) Pfizer	2) 2018-00186	2) 2/NA	2) Y	2) U	2) Instituted

Rituxan-Related IPRs

> 24 IPRs filed challenging 8 different patents

Genentech Patent	Challenger(s)	IPR No.	# P / PO Experts	2- Consid.	Claim Type	Status
7,682,612	1) Celltrion	1) 2017-01227	1) 7/NA	1) Y	1) U (leukemia)	1) Institution Denied
	2) Celltrion	2) 2017-01230	2) 7/0	2) Y	2) U	2) Institution Denied
	3) Pfizer	3) 2017-02126	3) 2/NA	3) Y	3) U	3) Institution Denied
8,206,711	1) Celltrion	1) 2017-01229	1) 7/0	1) Y	1) U (leukemia)	1) Institution Denied
	2) Pfizer	2) 2017-02127	2) 2/NA	2) Y	2) U	2) Institution Denied
8,821,873	Pfizer	2017-01168	2/NA	Υ	U (lymphoma)	Instituted

Rituxan: 7,820,161 IPRs

Representative Claim

- 1. A method of treating rheumatoid arthritis in a human by administering:
 - a) more than one intravenous dose of a therapeutically effective amount of rituximab; and
 - b) methotrexate.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Boehringer Ingelheim	2015-00415	1-12	§ 103 for claims 1, 2, 5, 6, 9, and 10	1/0	N	U	Adverse Judgment
Celltrion	2015-01744	1-51, 2, 5, 6, 9, and 10	None	1	N	U	Dismissed

7,820,161 Patent IPRs

<u> </u>							
Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Celltrion	2016-01614	1-12	§ 103 for claims 1-3, 5-7, 9-11	2	Y	U	FWD- Claims Valid
Pfizer	2017-01115	1-12	§ 103	3	Y	U	FWD- Claims Valid (J/W '614)

7,976,838 Patent IPRs

Representative Claim

1. A method of treating rheumatoid arthritis in a human patient who experiences an inadequate response to a TNFa-inhibitor by administering an antibody that binds to CD20, wherein the antibody is administered as two intravenous doses of 1000 mg.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Boehringer Ingelheim	2015-00417	1-14	§ 103 for all claims	1/0	Y	U	Adverse Judgment
Celltrion	2015-01733	1-14	NA	1	Y	U	Dismissed
Celltrion	2016-01667	1-14	NA	2/NA	Y	U	Institution Denied
Pfizer	2017-01923	1-14	§ 103 for all claims	2/NA	Y	U	Instituted
Sandoz	2017-02036	1-14	NA	2/NA	Y	U	Institution Denied
Sandoz	2017-02042	1-14	NA	2/NA	Y	U	Institution Denied

8,329,172 Patent IPR

Representative Claim

1. A method of treating low-grade B-cell non-Hodgkin's lymphoma (NHL) in a human patient by administering chemotherapy consisting of cyclophosphamide, vincristine, and prednisone (CVP therapy) to which the patient responds, followed by rituximab maintenance therapy, wherein the maintenance therapy comprises four weekly administrations of rituximab at a dose of 375 mg/m2 every 6 months, and wherein the maintenance therapy is provided for 2 years.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Boehringer Ingelheim	2015-00418	1	NA	1/0	N	U	Institution Denied
Celltrion	2017-01093	1	NA	2	Y	U	Institution Denied
Pfizer	2017-01166	1	NA	2	Y	U	Institution Denied
Pfizer	2018-00285	1	§ 103	2	Y	U	Instituted

8,557,244 IPR

Representative Claim

1. A method of treating a patient with diffuse large cell lymphoma by administering an unlabeled chimeric anti-CD20 antibody and CHOP (cyclophosphamide, hydroxydaunorubicin/doxorubicin, vincristine, and prednisone/prednisolone) chemotherapy to the patient, wherein the patient is >60 years old and has bulky disease (tumor >10 cm in diameter).

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Celltrion	2017-01094	1-2	NA	2/0	Y	U	Institution Denied (Request for Rehearing Denied)
Pfizer	2017-01167	1-2	NA	2/0	Y	U	Institution Denied

9,296,821 Patent IPR

Representative Claim

1. A method for treating low-grade or follicular NHL by administering to a patient a therapeutically effective amount of rituximab during a chemotherapeutic regimen, wherein the chemotherapeutic regimen consists of CVP therapy, wherein the method comprises administering 375 mg/m2 of rituximab, and wherein the method provides a beneficial synergistic effect in the patient.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Celltrion	2017-01095	1-6	NA	2/0	Υ	U	Instituted
Pfizer	2018-00186	1-6	§ 102 for claims 4-6; § 103 for all claims	2/NA	Υ	U	Instituted

7,682,612 Patent IPR

Representative Claim

1. A method of treating chronic lymphocytic leukemia (CLL) in a human patient by administering an anti-CD20 antibody in an amount effective to treat the CLL, wherein the method does not include treatment with a radiolabeled anti-CD20 antibody.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Celltrion	2017-01227	23-57	NA	7/NA	Y	U	Institution Denied
Celltrion	2017-01230	1-22, 58-60	NA	7/0	Υ	U	Institution Denied
Pfizer	2017-02126	1-13, 15-35, 37-60	NA	4/0	Y	U	Institution Denied

8,206,711 Patent IPR

Representative Claim

1. A method of treating CLL in a human patient by administering rituximab in an amount effective to treat the CLL, wherein the rituximab is administered to the patient at a dosage of 500 mg/m2.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Celltrion	2017-01229	1-9	NA	7/0	Y	U	Institution Denied
Pfizer	2017-02127	1-9	NA	4/0	Y	U	Institution Denied

8,821,873 Patent IPR

Representative Claim

1. A method of treating a patient with diffuse large cell lymphoma by administering an anti-CD20 antibody and chemotherapy, wherein the patient is >60 years old, wherein the chemotherapy comprises CHOP, and wherein the anti-CD20 antibody is administered in combination with a stem cell transplantation regimen.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Pfizer	2017-01168	1-5	NA	2/NA	Υ	U (lymphoma)	Instituted

HERCEPTIN

Herceptin-Related IPRs

> 35 IPRs filed challenging 11 different patents

Gen/Immu. Patent	Challenger(s)	IPR No.	# P / PO Experts	2- Consid.	Claim Type	Status
8,337,856 (Kadcyla)	Phigenix	2014-00676	1/4	Υ	С	FWD- Claims Valid
7,575,748	Phigenix	2014-00842	1/0	Υ	U	Institution Denied
6,407,213	 Mylan Mylan Celltrion Celltrion Pfizer Pfizer BI Samsung Samsung 	1) 2016-01693 2) 2016-01694 3) 2017-01373 4) 2017-01374 5) 2017-01488 6) 2017-01489 7) 2017-02032 8) 2017-02031 9) 2017-02139 10) 2017-02140	1) 2/0 2) 2/0 3) 2/4 4) 2/4 5) 5/4 6) 5/4 7) 1/0 8) 1/0 9) 6/NA 10) 6/NA	1) Y 2) Y 3) Y 4) Y 5) Y 6) Y 7) Y 8) Y 9) Y 10) Y	1) C 2) C 3) C 4) C 5) C 6) C 7) C 8) C 9) C 10) C	1) Terminated (Settled) 2) Terminated (Settled) 3) Instituted 4) Instituted 5) Instituted 6) Instituted 7) Instituted 8) Instituted 9) Instituted 10) Instituted (J/W '488) 10) Instituted (J/W '489)
7,807,799	Hospira	2016-01837	NA	NA	M	FWD- Claim Invalid

Herceptin-Related IPRs

> 35 IPRs filed challenging 11 different patents

Gen/Immu. Patent	Challenger(s)	IPR No.	# P / PO Experts	2- Consid.	Claim Type	Status
7,846,441	 Hospira Celltrion Pfizer Pfizer Samsung Bioepis 	1) 2017-00731 2) 2017-01121 3) 2017-02063 4) 2018-00016 5) 2018-00192	1) 4/NA 2) 3/NA 3) 1/NA 4) 1/NA 5) 6/NA	1) NA 2) Y 3) Y 4) Y 5) Y	1) U 2) U 3) U 4) U 5) U	 Institution Denied Instituted Instituted (J/W '121) Institution Denied Institution Denied
6,627,196	 Hospira Samsung Bioepis Celltrion 	1) 2017-00804 2) 2017-01958 3) 2017-01139	1) 2 2) 3/NA 3) 7/NA	1) Y 2) Y 3) Y	1) U 2) U 3) U	 Instituted Instituted (J/W '804) Instituted
7,371,379	 Hospira Samsung Bioepis Celltrion 	1) 2017-00805 2) 2017-01959 3) 2017-01140	1) 2 2) 7/NA 3) 1/0	1) Y 2) Y 3) Y	1) U 2) U 3) U	 Instituted Instituted (J/W '805) Instituted

Herceptin-Related IPRs

> 35 IPRs filed challenging 11 different patents

Gen/Immu. Patent	Challenger(s)	IPR No.	# P / PO Experts	2- Consid.	Claim Type	Status
8,591,897	 Pfizer Pfizer Celltrion 	1) 2017-01726 2) 2017-01727 3) 2017-00959	1) 3/NA 2) 3/NA 3) 1/NA	1) Y 2) Y 3) Y	1) U 2) U 3) U	 Institution Denied Institution Denied Terminated
6,339,142	 Pfizer Pfizer 	1) 2017-02019 2) 2018-00330	1) 6/NA 2) 3/NA	1) Y 2) Y	1) C 2) C	 Instituted Institution Denied
9,249,218	1) Pfizer 2) Pfizer	1) 2017-02020 2) 2018-00331	1) 6/NA 2) 1/NA	1) Y 2) Y	1) F 2) F	 Instituted Institution Denied
7,892,549	 Hospira Hospira Celltrion Samsung Bioepis 	1) 2017-00737 2) 2017-00739 3) 2017-01122 4) 2017-01960	1) 3/NA 2) 4/NA 3) 3/NA 4) 6/NA	1) NA 2) NA 3) Y 4) Y	1) U 2) U 3) U 4) U	1) Instituted 2) Institution Denied 3) Instituted 4) Instituted (J/W '737)

8,337,856 Patent IPR

Representative Claim

1. An immunoconjugate comprising an anti-ErbB2 antibody conjugated to a maytansinoid, wherein the antibody is huMAb4D5-8.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Phigenix	2014-00676	1-8	§ 103 for all claims	1/4	N	С	FWD - Claims Valid

7,575,748 Patent IPR

Representative Claim

1. A method for the treatment of a tumor in a mammal, comprising the steps of (i) identifying said tumor as being characterized by overexpression of an ErbB2 receptor and as being a tumor that does not respond, or responds poorly, to treatment with an anti-ErbB antibody, and (ii) intravenously administering to the mammal a therapeutically effective amount of a conjugate of a humanized antibody huMab 4D5-8 covalently linked via a thioether linking group with a maytansinoid DM1 having the structure at a dose of between about 0.2 mg/kg and about 10 mg/kg (antibody-maytansinoid conjugate weight/body weight) and at a frequency of dosing selected from the group of dosing frequencies consisting of bolus, less than about 1 time per week, one time per week, two times per week, more than two times per week, and continuous infusion, whereby said tumor characterized by overexpression of an ErbB2 receptor and that does not respond, or responds poorly, to treatment with an anti-ErbB antibody, is treated.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Phigenix	2014-00842	1-20, 25-27	NA	1/0	N	U	Institution Denied

6,407,213 Patent IPRs

Representative Claim

1. A humanized antibody variable domain comprising non-human Complementarity Determining Region (CDR) amino acid residues that bind an antigen incorporated into a human antibody variable domain, and further comprising a Framework Region (FR) amino acid substitution at a site selected from the group consisting of 4L, 38L, 43L, 44L, 58L, 62L, 65L, 66L, 67L, 68L, 69L, 73L, 85L, 98L, 2H, 4H, 36H, 39H, 43H, 45H, 69H, 70H, 74H, and 92H, utilizing the numbering system set forth in Kabat.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Mylan	2016-01693	1, 2, 4, 12, 25, 29- 31, 33, 42, 60, 62- 67, 69, 71-81	NA	2/4	Y	С	Settled
Mylan	2016-01694	1, 2, 4, 12, 25, 29- 31, 33, 42, 60, 62- 67, 69, 71-81	NA	2/4	Y	С	Settled
Celltrion	2017-01374	1-2, 4, 12, 25, 29- 31, 33, 42, 60, 62- 67, 69, 71-81	NA	2/4	Y	С	Instituted
Celltrion	2017-01373	1-2, 4, 12, 25, 29- 31, 33, 42, 60, 62- 67, 69, 71-81	NA	2/4	Y	С	Instituted

Herceptin

6,407,213 Patent IPRs

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Pfizer	2017-01488	1-2, 4, 12, 25, 29- 31, 33, 42, 60, 62- 67, 69, 71-76, 77, 78-81	NA	5/4	Y	С	Instituted
Pfizer	2017-01489	1-2, 4, 12, 25, 29, 62-67, 69, 71-81	§ 103 for all claims	5/4	Y	С	Instituted
BI	2017-02032	1-2, 4, 25, 29, 62- 64, 66-67, 71-73, 75-78, 80-81	§ 102 for claims 1- 2, 4, 25, 62-64, 66, 67, 69, 71, 73, 75, 78, 80, 81 § 103 for claims 1, 2, 4, 25, 29, 62-64, 66, 67, 69, 71-73, 75-78, 80-81	1/0	Y	С	Instituted
ВІ	2017-02031	1-2, 4, 25, 29, 62- 64, 66-67, 69, 71, 75-76, 78, 8-81	§ 102 for claim 63 § 103 for claims 1, 2, 4, 25, 29, 62, 64, 66, 69, 71, 73, 75- 78, 80, 81	1/0	Y	С	Instituted
Samsung Bioepsis	2017-02140	1-2, 4, 12, 25, 29, 62-67, 69, 71-81	NA	6/NA	Y	С	Instituted (J/W '489)
Samsung Bioepsis	2017-02139	1-2, 4, 12, 25, 29, 62-64, 66-67, 69, 71-72, 75-76, 80-81	NA	6/NA	Y	С	Instituted (J/W '488)

7,807,799 Patent IPR

Representative Claim

1. A method of purifying a protein which comprises a CH2/CH3 region by subjecting a composition of said protein to protein A affinity chromatography at a temperature in the range from about 10°C to about 18°C.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Hospira	2016-01837	1-3, 5-11	§ 102 for claims 1, 2, and 5 § 103 for claims 1-3, 5-11	1/0	Y	М	FWD- Claims Invalid

7,892,549 Patent IPR

Representative Claim

1. A method for the treatment of a human patient with breast cancer that overexpresses ErbB2 receptor, comprising administering a combination of an antibody that binds ErbB2, a taxoid, and a further growth inhibitory agent to the human patient in an amount effective to extend the time to disease progression in the human patient, wherein the antibody binds to epitope 4D5 within the ErbB2 extracellular domain sequence.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Hospira	2017-00737	1-17	§ 103	3	Υ	U	Instituted
Hospira	2017-00739	1-11, 14-17	NA	4	N	U	Institution Denied
Celltrion	2017-01122	1-11, 14-17	§ 103	3	Υ	U	Instituted
Samsung Bioepis	2017-01960	1-17	§ 103	3	Υ	U	Instituted (J/W '737)

7,846,441 Patent IPR

Representative Claim

1. A method for the treatment of a human patient with a malignant progressing tumor or cancer characterized by overexpression of ErbB2 receptor by administering a combination of an intact antibody that binds to epitope 4D5 within the ErbB2 extracellular domain sequence and a taxoid, in the absence of anthracycline derivative, to the human patient in an amount effective to extend the time to disease progression in said human patient, without increase in overall severe adverse events.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Hospira	2017-00731	1-14	NA	4/NA	Υ	U	Instituted
Celltrion	2017-01121	1-14	NA	3/NA	Y	U	Instituted
Pfizer	 2017-02063 2018-00016 	1) 1-14 2) 1-14	1) NA 2) NA	1) 1/3 2) 2/NA	1) Y 2) Y	1) U 2) U	1) Instituted (J/W '121) 2) Institution Denied
Samsung Bioepsis	2018-00192	1-14	NA	6/NA	Y	U	Institution Denied

6,627,196 Patent IPR

Representative Claim

- A method for the treatment of a human patient diagnosed with cancer characterized by overexpression of ErbB2 receptor by administering an effective amount of an anti-ErbB2 antibody to the human patient, giving:
 - a) an initial dose of at least approximately 5 mg/kg of the anti-ErbB2 antibody; and
 - b) a plurality of subsequent doses of the antibody in an amount that is approximately the same or less than the initial dose, wherein the subsequent doses are separated in time from each other by at least two weeks.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Hospira	2017-00804	1-3, 5, 7, 9- 11, 17-33	§ 103	2	Y	U	Instituted
Samsung Bioepis	2017-01958	1-3, 5, 7, 9- 11, 17-33	§ 103	3/NA	Y	U	Instituted (J/W '804)
Celltrion	2017-01139	1-3, 5, 7, 9- 11, 17-33	§ 103	7/NA	Y	U	Instituted

7,371,379 Patent IPR

Representative Claim

- 1. A method for the treatment of a human patient diagnosed with cancer characterized by overexpression of ErbB2 receptor by administering an effective amount of an anti-ErbB2 antibody to the human patient, giving:
 - a) an initial dose of at least approximately 5 mg/kg of the anti-ErbB2 antibody;
 - b) a plurality of subsequent doses of the antibody in an amount that is approximately the same or less than the initial dose, wherein the subsequent doses are separated in time from each other by at least two weeks; and
 - c) an effective amount of a chemotherapeutic agent.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Hospira	2017-00805	1-3, 5, 7, 9-11, 16-28, 30-40	§ 103	2/NA	Y	U	Instituted
Celltrion	2017-01140	1-3, 5, 7, 9-11, 13-28, 30-40	§ 103	1/0	Υ	U	Instituted

7,371,379 Patent IPR

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Samsung Bioepis	2017-01959	1-3, 5, 7, 9-11, 16-28, 30-40	NA	3/NA	Y	U	Instituted (J/W '805)
Celltrion	2017-01139	1-3, 5, 7, 9-11, 16-28, 30-40	NA	7/NA	Y	U	Pending Inst. Dec.

8,591,897 Patent IPR

Representative Claim

1. A method of adjuvant therapy by administering to a human subject with nonmetastatic HER2 positive breast cancer, following definitive surgery, anthracycline/cyclophosphamide ("AC") based chemotherapy, followed by sequential administration of a taxoid and trastuzumab, or an antibody that blocks binding of trastuzumab to HER2.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
1) Pfizer	1) 2017-01726	1) 1-13	NA	1) 3/NA	1) Y	1) U	1) Institution Denied
2) Pfizer	2) 2017-01727	2) 1-13		2) 3/NA	2) Y	2) U	2) Institution Denied
3) Celltrion	3) 2017-00959	3) 1-13		3) 1/NA	3) Y	3) U	3) Terminated – Adverse Judgment

6,339,142 Patent IPR

Representative Claim

 A composition of a mixture of anti-HER2 antibody and one or more acidic variants thereof, wherein the amount of the acidic variant(s) is less than about 25%.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Pfizer	2017-02019	1-3	NA	6/NA	Υ	С	Instituted
Pfizer	2018-00330	1-3	NA	3/NA	Y	С	Institution Denied

9,249,218 Patent IPR

Representative Claim

- 1. A therapeutic composition of a mixture of anti-HER2 antibody and one or more acidic variants thereof, wherein:
 - a) the amount of the acidic variant(s) is less than about 25%,
 - b) the acidic variant(s) are predominantly deamidated variants, wherein one or more asparagine residues of the anti-HER2 antibody have been deamidated,
 - c) the anti-HER2 antibody is humMAb4D5-8,
 - d) the deamidated variants have Asn30 in CDR1 of either or both VL regions of humMAb4D5-8 converted to aspartate, and
 - e) a pharmaceutically acceptable carrier.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Pfizer	2017-02020	1, 5-7	NA	6/NA	Υ	С	Instituted
Pfizer	2018-00331	1-20	NA	1/1	Y	С	Institution Denied

TYSABRI

Tysabri

Tysabri-Related IPRs

> 3 IPRs filed challenging 3 different patents

Gen/Immu. Patent	Challenger(s)	IPR No.	# P / PO Experts	2- Consid.	Claim Type	Status
8,815,236	Swiss Pharma	2016-00912	5/0	N	U	Institution Denied
8,349,321	Swiss Pharma	2016-00915	4/0	N	F	Institution Denied
8,900,577	Swiss Pharma	2016-00916	4/0	N	F	Institution Denied

8,815,236 Patent IPR

Representative Claim

1. A method of treatment by administering to a patient with multiple sclerosis a therapeutic amount of a stable, aqueous pharmaceutical formulation of about 20 mg/ml to about 150 mg/ml of natalizumab, about 10 mM phosphate buffer, about 140 mM sodium chloride, and polysorbate 80 present in an amount of about 0.001% to 2% (w/v).

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Swiss Pharma	2016-00912	1-16, 21-22	None	5/0	Y	U	Institution Denied

8,349,321 Patent IPR

Representative Claim

1. A stable, aqueous pharmaceutical formulation of 20 mg/ml of natalizumab, about 10 mM sodium phosphate buffer, 8.18 mg/ml of sodium chloride, and 0.2 mg/ml of polysorbate 80, and wherein the formulation has a pH of 6.1.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Swiss Pharma	2016-00915	1-4	None	4/0	Y	F	Institution Denied

8,900,577 Patent IPR

Representative Claim

1. A stable, aqueous pharmaceutical formulation of about 20 mg/ml to about 150 mg/ml of natalizumab, polysorbate 80 present in an amount of about 0.001% to 2% (w/v), about 10 mM phosphate buffer, and about 140 mM NaCl.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Swiss Pharma	2016-00916	1, 3-7, 9-12	None	4/0	Υ	F	Institution Denied

KEYTRUDA

Tysabri-Related IPRs

> 4 IPRs filed challenging 2 patents

Representative Claim

1. A method of treating lung cancer by administering a composition of a human or humanized anti-PD-1 monoclonal antibody.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Merck	2016-01217	1, 6-14, 19-20, 24- 27, and 29-30	§§ 102, 103 for all claims	1/NA	NA	U	Settled
Merck	2016-01218	1, 6-14, 19-20, and 24-27, and 29-30	§§ 102, 103 for all claims	1/NA	NA	U	Settled
Merck	2016-01219	1-3, 8-9, 14-15, 19-22 and 25-26	§§ 102, 103 for all claims	1/NA	NA	U	Settled
Merck	2016-01221	1-3, 8-9, 14-15, 19-22, and 25-26	§§ 102, 103 for all claims	1/NA	NA	U	Settled

Morgan Lewis

9,067,999 Patent IPRs

Representative Claim

 A method of treating a lung cancer comprising administering a composition comprising a human or humanized anti-PD-1 monoclonal antibody to a human with the lung cancer, wherein the administration of the composition treats the lung cancer in the human.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Merck	2016-01217	1, 6-14, 19-20, 24- 27, and 29-30	§§ 102, 103 for all claims	1/NA	NA	U	Settled
Merck	2016-01218	1, 6-14, 19-20, and 24-27, and 29-30	§§ 102, 103 for all claims	1/NA	NA	U	Settled

9,073,994 Patent IPRs

Representative Claim

1. A method of treating a metastatic melanoma comprising intravenously administering an effective amount of a composition comprising a human or humanized anti-PD-1 monoclonal antibody and a solubilizer in a solution to a human with the metastatic melanoma, wherein the administration of the composition treats the metastatic melanoma in the human.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Merck	2016-01219	1-3, 8-9, 14-15, 19-22 and 25-26	§§ 102, 103 for all claims	1/NA	NA	U	Settled
Merck	2016-01221	1-3, 8-9, 14-15, 19-22, and 25-26	§§ 102, 103 for all claims	1/NA	NA	U	Settled

AVASTIN

> 34 IPRs filed challenging 11 different patents

Representative Claim

1. A method for treating cancer in a patient by administering an effective amount of bevacizumab and assessing the patient for gastrointestinal perforation.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Hospira	2016-01771	1-5	§§ 102, 103 for all claims	1/NA	NA	U	FWD- Claims Invalid

EPOGEN

6,747,002 IPR

> 1 IPR filed challenging 1 patent

Representative Claim

 A method of administering at least one EPO dose to a patient according to an EPO dosing regimen, wherein said regimen maintains at least a serum EPO concentration above a predose level for about 5 to about 30 days between doses.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Hospira	2013-00365	1-7, 12, 14-28	NA	3/0	NA		Not instituted; Janssen disclaimed all of the challenged claims

ORENGIA

> 1 IPR filed challenging 1 patent

Representative Claim

1. A stable formulation suitable for subcutaneous administration of at least 100mg/ml CTLA4Ig molecule; a sugar selected from the group consisting of sucrose, lactose, maltose, mannitol, and trehalose and mixtures thereof; and a pharmaceutically acceptable aqueous carrier, wherein the formulation has a pH range of from 6 to 8, viscosity from 9 to 20 cps, and the weight ratio of sugar:protein of 1.1:1 or higher.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Momenta	2015-01537	1-15	§ 103	1/2	Y	F	FWD- Claims Valid

NEULASTA

8,952,138 IPR

> 1 IPR filed challenging 1 patent

Representative Claim

- 1. A method of refolding a protein expressed in a nonmammalian expression system and present in a volume at a concentration of 2.0 g/L or greater that includes:
 - a) contacting the protein with a refold buffer that has a redox component with a final thiol-pair ratio in the range of 0.001 to 100, a redox buffer strength of 2 mM or greater, and one or more of:
 - a denaturant;
 - ii. an aggregation suppressor; and
 - iii. a protein stabilizer;
 - v. to form a refold mixture;
 - b) incubating the refold mixture; and
 - c) isolating the protein from the refold mixture.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Apotex	2016-01542	1-24	§ 103 for all claims	1/1	N	М	FWD- Claims 1-17 and 19-24 unpatentable Claim 18 patentable (non- aerobic)

ENBREL

> 3 IPRs filed challenging 2 patents

Hofmann- LaRoche Patent	Challenger(s)	IPR No.	# P / PO Experts	2- Consid.	Claim Type	Status
8,163,522	Coalition for Affordable Drugs (Kyle Bass)	2015-01792	1/0	Y	М	Institution Denied
	Coherus	2017-01916	9/NA	N	M	Institution Denied
8,063,182	Coherus	2017-02066	10/NA	N	С	Institution Denied

8,163,522 Patent IPR

Representative Claim

- 1. A method comprising the steps of:
 - a) culturing a host cell with a polynucleotide, wherein the polynucleotide encodes a protein consisting of:
 - the extracellular region of an insoluble human TNF receptor, wherein the insoluble human TNF receptor has an apparent molecular weight of about 75 kilodaltons as determined on a nonreducing SDS-polyacrylamide gel and the amino acid sequence LPAQVAFXPYAPEPGSTC (SEQ ID NO:10), and
 - ii. all of the domains of the constant region of a human IgG immunoglobulin heavy chain other than the first domain of said constant region, and
 - b) purifying an expression product of the polynucleotide from the cell mass or the culture medium.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Coalition for Affordable Drugs (Kyle Bass)	2015-01792	1-10	NA	1/0	Y	М	Institution Denied
Coherus	2017-01916	1-10	NA	1/2	N	М	Institution Denied

8,163,522 Patent IPR

Representative Claim

1. An isolated antibody that binds specifically to the polypeptide of SEQ ID NO:548.

Challenger(s)	IPR No.	Challenged Claims	Instituted Grounds	# P / PO Experts	2- Consid.	Claim Type	Status
Coherus	2017-02066	2-36	NA	10/NA	N	С	Institution Denied

Our Global Reach

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