

Morgan Lewis

collaborate

New and Innovative Mutual Fund Products

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# Alternative Strategy Funds

- Common Alternative Asset Classes
- Means of Obtaining Exposure
  - Utilizing the 10% “Bad Income” Bucket
  - Structured Notes/Swaps
  - Controlled Foreign Corporations (CFCs)
  - Qualified Publicly-Traded Partnerships (PTPs)
  - Exchange Traded Commodities
- Possible Legislative Impact

# Guaranteed and Principal Protected Funds

- Guaranteed v. Protected
- Applies To:
  - NAV
  - Investor Account
  - Income
- Liquidity Questions

# Income Distribution (Decumulation) Funds

- The New Goal: Regular and Predictable Income
- How Many Funds Do You Need?
  - Balancing Volatility and Income
- Mechanics:
  - Disclosure
  - Notices of Distribution – The Return of Capital Question
  - Keeping the Powder Dry – Holding Income Back

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