

Private Client Disputes and Litigation Panel

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1. Valuation

- *In re Oppenheimer Rochester Funds Group Sec. Litig.* (D. Colo. 2012)

2. Fees

- *In re Am. Mut. Funds Fee Litig.* (9th Cir. 2011)

3. Adequacy/Accuracy of Disclosure of Investment Strategy

- *Schad v. Harbinger Capital Partners LLC et al.* (S.D.N.Y. complaint filed Feb. 2012)
- *Goldenson v. Steffens* (D. Me. 2011)
- *In re Merkin* (S.D.N.Y. 2011)

4. Compliance Officer Conduct
Sullivan v. Harnisch (N.Y. 2012)

5. Related Party Transactions/“Skin in the Game”
In re Quantek Asset Mgmt., LLC (Admin. Proc.
File No. 3-14893 May 29, 2012)

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