



Morgan Lewis

MODERN SLAVERY AND HUMAN TRAFFICKING STATEMENT

For financial year ending 30 September 2019

INTRODUCTION

This statement is made on behalf of Morgan, Lewis & Bockius UK LLP (“Morgan Lewis” or the “Firm”), pursuant to Section 54 of the Modern Slavery Act 2015 (the “Act”).

OUR STRUCTURE

The Firm is organised in the United Kingdom as a limited liability partnership (registration number OC378797), and authorised and regulated by the Solicitors Regulation Authority (authorisation number 615176). The Paris office is a branch of Morgan, Lewis & Bockius UK LLP. The Firm coordinates its activities with Morgan, Lewis & Bockius LLP, a Pennsylvania limited liability company, via a service agreement. The Firm also, from time to time, works with local counsel in many jurisdictions in order to satisfy our clients’ requirements and instructions.

For more information about Morgan Lewis, please visit our website at www.morganlewis.com.

OUR SUPPLY CHAIN AND RISK

Morgan Lewis’s supply chain is typical for a large global law firm of its size and includes other providers of professional services, many of which are subject to their own regulatory requirements. The Firm’s supply chain also includes other office support services such as IT, catering, cleaning, security, courier services, and office supplies. Morgan Lewis manages its supply chain risk by contracting with well-established businesses with strong reputations for ethical and responsible business practices.

As a provider of legal services, Morgan Lewis is subject to professional and ethical obligations, including those under the UK Modern Slavery Act 2015. In the services we provide, the people we employ, and the suppliers with which we engage, we believe that the risk of modern slavery and human trafficking occurring within our own business is low. That low risk is further ameliorated by our procurement process for evaluating new suppliers and long-standing relationships with the vast majority of our suppliers.

OUR POLICIES AND PROCEDURES

Morgan Lewis has a full suite of policies and procedures designed to help ensure that the Firm provides clients with high-quality legal services in a manner that is consistent with the legal and ethical obligations of the Firm and its lawyers. Our policy framework reflects the Firm’s strong culture of promoting professionalism and ethical

behaviour, its commitment to risk management and loss prevention, and its stance on upholding and promoting human rights in the conduct of its business. These policies are reviewed regularly and cover a wide range of topics, including but not limited to professional conduct and business ethics, employment practices, lawyer and legal operations, information governance, and firm operations.

In December 2016, we adopted a Modern Slavery and Human Trafficking Policy (the “Policy”), which reflects our zero-tolerance approach to modern slavery and human trafficking anywhere in our own business or in our supply chains. This policy was last updated in April 2020.

Morgan Lewis expects its employees to familiarize themselves with and acknowledge the Firm’s policies and procedures, and act accordingly.

OUR DUE DILIGENCE AND EFFECTIVENESS

As part of our ongoing efforts to identify, monitor, and reduce the risk of modern slavery and human trafficking in our business, Morgan Lewis follows a procurement process that includes a regular review of its supplier lists as well as appropriate due diligence checks on existing and potential new suppliers.

We have also adopted a Supplier Code of Conduct (the “Code”), which summarizes our commitment to preventing modern slavery and human trafficking, and the expectations we have of our suppliers in this regard. We expect our suppliers to take modern slavery seriously and to take any necessary steps to ensure that any areas of risk in their organisations and supply chains are properly identified and addressed.

During this next reporting period, we will send the Code (which attaches the Policy and this Statement) to any existing supplier for our London office that we have identified as presenting a potentially higher risk of modern slavery based on factors including geography, industry sector, the product or service at issue, the supplier’s quality performance, and the nature of the business transaction. Going forward, we will provide the Code to any new or existing suppliers at the time of engagement or renewal.

If at any time we identify a greater risk of modern slavery or human trafficking in our supply chains, we will immediately evaluate and address that risk as appropriate. Our efforts may include engaging in discussions with the supplier at issue, enhancing our due diligence procedures, improving our procurement practices, updating our contractual terms, and/or pursuing industry collaboration.

OUR TRAINING

The Firm’s induction process for all new employees includes training on modern slavery and human trafficking. Through this training, we educate Firm personnel on recognising the risks of modern slavery in our business and supply chains, and encourage them to report any breaches or concerns to the proper parties within the Firm, without fear of retaliation.

We will continue to work on identifying additional training needs within Morgan Lewis to raise awareness about the risks of modern slavery and human trafficking in our business and supply chains.

APPROVAL

This statement is authorised and approved by the Managing Partner for the London office on behalf of the members of Morgan, Lewis & Bockius UK LLP.

Frances Murphy, Managing Partner

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www.morganlewis.com

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*Our Beijing and Shanghai offices operate as representative offices of Morgan, Lewis & Bockius LLP. In Hong Kong, Morgan Lewis operates through Morgan, Lewis & Bockius, which is a separate Hong Kong general partnership registered with The Law Society of Hong Kong as a registered foreign law firm operating in Association with Luk & Partners. Morgan Lewis Stamford LLC is a Singapore law corporation affiliated with Morgan, Lewis & Bockius LLP.

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