

## Automotive Parts Investigation Summary

The U.S. Department of Justice (DOJ) investigation related to suspected bid rigging, price fixing, market allocation, and other anticompetitive conduct in the automotive parts industry resulted in the largest antitrust investigation to date. The investigation, which spanned seven years, resulted in the prosecution of 48 corporations and 65 individuals.

As an overview, some of the investigation highlights include:

### *First Convictions Announced*

- On September 29, 2011, the DOJ filed the first charges in the investigation against a corporation and three executives:
  - The first corporate fine was for \$200 million
  - The first three executives agreed to serve prison terms ranging from a year and a day to 18 months
  - These initial sentencing penalties had an impact on subsequent cases
- The DOJ made several announcements to mark the progress of the ongoing investigation
  - As an example, at a press conference held on September 26, 2013 by then – Attorney General Eric Holder, Jr., the DOJ announced that nine companies and two executives had “agreed to plead guilty – and to pay a total of more than \$740 million in criminal fines – for their roles in separate conspiracies to fix the prices of more than 30 different products sold to U.S. car manufacturers and installed in cars sold in the United States and elsewhere.”

### *Corporate Convictions*

- 48 corporations were convicted and sentenced to pay more than \$2.9 billion in criminal fines
  - On September 29, 2011, the DOJ filed the first charges in the investigation against a corporation and three executives; the corporation agreed to pay a fine of \$200 million and the three executives agreed to serve prison terms
- Some of the largest antitrust corporation criminal fines were announced in the investigation
  - Two corporate fines exceeding \$425 million, including one for \$470 million (third highest antitrust fine) and another for \$425 million (fourth highest antitrust fine)
  - One corporate fine of \$200 million
  - Six corporate fines exceeding \$100 million (and less than \$200 million)
  - Ten corporate fines exceeding \$50 million (and less than \$100 million)

### *Executive Prosecutions*

- 30 executives have been convicted in the investigation with the prison terms ranging from one year and one day to 24 months

- Nine executives receive prison terms of one year and one day; three for 13 months, five for 14 months, five for 15 months; three for 16 months, two for 18 months; one for 19 months, and two for 24 months.
- 35 additional executives have been charged with their cases pending

### *Obstruction of Justice Charges*

- The DOJ filed obstruction of justice charges in some of the cases
  - Three corporations were charged with obstruction charges and paid additional criminal fines for this conduct
  - One executive was convicted for obstructing justice; four executives have been indicted and their cases remain pending

### *Investigation Scope*

- While most of the charged conspiracies were in the 2000s, some started in the 1990s
- Nearly 60 automotive parts were identified in the cases resulting in criminal convictions
  - The automotive parts (listed alphabetically) include (1) Air Conditioning Systems (ACs); (2) Air Flow Meters; (3) Air Fuel Ratio Sensors; (4) Airbags; (5) Alternators; (6) Anti-Vibrational Rubber Parts (AVRPs); (7) Automatic Transmission Fluid Warmers ("ATF Warmers"); (8) Automotive Access Mechanisms; (9) Automotive Body Sealing Products; (10) Automotive Steel Tubes; (11) Bearings; (12) Brake Hose; (13) Ceramic Substrates for Automotive Catalytic Converters; (14) Ceramic Substrates used in emission control systems of automobiles; (15) Certain Automotive Hoses; (16) Certain Plastic Interior Trim; (17) Combination Switches; (18) Compressors; (19) Condensers; (20) Constant Velocity Joint Boots (CVJBs); (21) Electric Powered Steering Assemblies (EPSAs); (22) Electronic Control Units (ECUs); (23) Electronic Throttle Bodies (ETBs); (24) Fan Motors; (25) Fuel Injection Systems; (26) Fuel Sender; (27) Heater Control Panels (HCPs); (28) HID Ballasts; (29) HID Lamp Ballasts; (30) Hoses; (31) Ignition Coils; (32) Instrument Panel Clusters (IPCs); (33) Inverters; (34) Lighting Fixtures; (35) Motor Generators; (36) Non-Electric or Non-Hydraulic-Powered Steering Columns; (37) Occupant Safety Systems (Seatbelts and Airbags); (38) Occupant Safety Systems (Seatbelts); (39) Oxygen Sensors; (40) Polyurethane Foam; (41) Power Window Motors; (42) Power Window Switches; (43) Radiators; (44) Shock Absorbers; (45) Spark Plugs; (46) Speed Sensor Wire Assemblies (SSWAs); (47) Standard Oxygen Sensors; (48) Starter Motors; (49) Steering Angle Sensors (SASs); (50) Steering Wheel Switches; (51) Steering Wheels; (52) Switches; (53) Turn Switches; (54) Valve Timing Control Devices; (55) Variable Valve Timing Devices (VVTs); (56) Windshield Washer and Wiper Systems; (57) Windshield Wiper and Washer Systems; (58) Wiper Switches; and (59) Wire Harness.

As a "snapshot" of this comprehensive investigation, two separate appendices summarize the charges against the companies and individuals. The first, involving corporate cases, appears below as Appendix A. The second, involving individual prosecutions, appears as Appendix B.

**APPENDIX A**  
**CORPORATE GUILTY PLEAS IN AUTO PARTS DOJ ANTITRUST INVESTIGATION**

NO.	CORPORATION/ LOCATION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	SALES AFFECTED	FINE / SENTENCE DATE	CARVE-OUT(S)	COURT / CASE NO.
1	Manufacturer of Wire Harness / Tokyo, Japan	Wire Harness	Information Sept. 29, 2011	Bid Rigging  Price Fixing - Horizontal	One Count - Conspiracy to Restrain Trade	Jan. 2000 – Jan. 2010	Defendants' sales of wire harness affected US auto manufacturers totaled approx. \$839 M during relevant period.	\$200 M / July 2, 2013	4 Individuals listed in the Plea Agreement	USDC, Eastern District of Michigan Southern Division 2:11-cr-20612-GCS-PJK
2	Manufacturer of Wire Harness, Instrument Panel Clusters (ICPs), and Fuel Senders / Tokyo, Japan	Wire Harness  Instrument Panel Clusters  Fuel Senders	Information Jan. 30, 2012	Bid Rigging  Price Fixing - Horizontal	Three Counts - Conspiracy to Restrain Trade	Wire Harness Jan. 2000 - Feb. 2010  Instrument Panel Clusters Dec. 2002 - Feb. 2010  Fuel Senders March 2004 - Feb. 2010	Defendants' sales of wire harnesses affected auto manufacturers in US and elsewhere totaled approx. \$2 B during relevant period.  Defendants' sales of ICPs affected auto manufacturers in US and elsewhere totaled approx. \$73 M during relevant period.  Defendants' sales of fuel senders affected US auto manufacturers in US and elsewhere totaled approx. \$1.6 M during relevant period.	\$470 M / March 1, 2012	7 Individuals listed in the Plea Agreement	USDC, Eastern District of Michigan Southern Division 2:12-cr-20064-GCS-PJK
3	Manufacturer of Electronic Control Units (ECUs) and Heater Control Panels (HCPs) / Kariya, Japan	Electronic Control Units  Heater Control Panels	Information Jan. 30, 2012	Bid Rigging  Price Fixing - Horizontal	Two Counts - Conspiracy to Restrain Trade	Jan. 2000 - Feb. 2010	Defendants' sales of ECUs affected US auto manufacturer totaled approx. \$237 M during relevant period.  Defendants' sales of HCPs affected US auto manufacturer totaled approx. \$211 M during relevant period.	\$78 M / March 5, 2012	7 Individuals listed in the Plea Agreement	USDC, Eastern District of Michigan Southern Division 2:12-cr-20063-DML-DRG

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4	Manufacturer of Speed Sensor Wire Assemblies (SSWA) / Tokyo City, Japan	Speed Sensor Wire Assemblies	Information April 3, 2012	Bid Rigging  Price Fixing - Horizontal	One Count - Conspiracy to Restrain Trade	Jan. 2003 - Feb. 2010	Defendants' sales of SSWAs affected US auto manufacturer totaled approx. \$11 M during relevant period.	\$2.75 M / May 16, 2012	1 Individual listed in the Plea Agreement	USDC, Eastern District of Michigan Southern Division 2:12-cr-20215-MOB-LJM
5	Manufacturer of Wire Harness / Tokyo, Japan	Wire Harness	Information April 23, 2012	Bid Rigging  Price Fixing - Horizontal	One Count - Conspiracy to Restrain Trade	Jan. 2006 - Feb. 2010	Defendants' sales of wire harness affected US auto manufacturer totaled approx. \$32 M during relevant period.	\$20 M / June 21, 2012	2 Individuals listed in the Plea Agreement	USDC, Eastern District of Michigan Southern Division 2:12-cr-20254-PDB-LJM
6	Manufacturer of Occupant Safety Systems (Seat belts, Airbags) and Steering Wheels / Stockholm, Sweden	Occupant Safety Systems (Seat belts, Airbags)  Steering Wheels	Information June 6, 2012	Bid Rigging  Other Restraint of Trade  Price Fixing - Horizontal	Two Counts - Conspiracy to Restrain Trade	Seatbelts, Airbags and Steering wheels March 2006 - Feb. 2011  Seatbelts May 2008 - Feb. 2011	Information not available in Plea Agreement	\$14.5 M / June 21, 2012	3 Individuals listed in the Plea Agreement	USDC, Eastern District of Michigan Southern Division 5:12-cr-20383-JCO-LJM
7	Manufacturer of Occupant Safety Systems (Seatbelts and Airbags) and Steering Wheels / Koblenz, Germany	Occupant Safety Systems (Seatbelts and Airbags)  Steering Wheels	Information July 30, 2012	Bid Rigging  Price Fixing - Horizontal	One Count - Conspiracy to Restrain Trade	Jan. 2008 - June 2011	Information not available in Plea Agreement	\$5.1 M / Sept. 25, 2012	1 Individual listed in the Plea Agreement	USDC, Eastern District of Michigan Southern Division 2:12-cr-20491-BAF-LJM

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8	Manufacturer of Instrument Panel Clusters / Nagaoka, Japan	Instrument Panel Clusters	Information Aug. 28, 2012	Bid Rigging  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade	April 2008 - Feb. 2010	Information not available in Plea Agreement	\$1 M / Nov. 8, 2012	1 Individual listed in the Plea Agreement	USDC, Eastern District of Michigan Southern Division 2:12-cr-20569-SJM- MAR
9	Manufacturer of Heater Control Panels / Nagoya, Japan	Heater Control Panels	Information Oct. 30, 2012	Bid Rigging  Cause or induce any person(s) to withhold, alter, destroy, mutilate, conceal, with intent to impair the integrity/ availability of object(s) in an official proceeding  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade  One-Count - Obstruction of Justice	Sept. 2003 - Feb. 2010	Defendants' sales of HCPs affected auto manufacturer in US totaled approx. \$73.6 M during relevant period.	\$17.7 M / Dec. 12, 2012	5 Individuals listed in the Plea Agreement	USDC, Eastern District of Michigan Southern Division 2:12-cr-20711-GCS- PJK
10	Manufacturer of Ignition Coils / Osaka, Japan	Ignition Coils	Information July 16, 2013	Bid Rigging  Other Restraint of Trade  Price Fixing - Horizontal	One Count - Conspiracy to Restrain Trade	July 2003 - Feb. 2010	Defendants' sales of ignition coils affected US auto manufacturers totaled approx. \$116.92 M during relevant period.	\$19 M / Sept. 11, 2013	2 Individuals listed in Attachment A (filed under seal)	USDC, Eastern District of Michigan Southern Division 2:13-cr-20524-PDB- RSW

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11	Manufacturer of Switches, Steering Angle Sensors (SASs) and HID Ballasts / Osaka, Japan	Switches  Steering Angle Sensors  HID Ballasts	Information July 18, 2013	Bid Rigging  Other Restraint of Trade  Price Fixing - Horizontal	Three-Counts - Conspiracy to Restrain Trade	SSAS Sept. 2003 - Feb. 2010  HID Ballasts July 1998 – Feb. 2010	Defendants' sales of switches affected auto manufacturer in US and elsewhere totaled approx. \$49.4 M during relevant period.  Defendants' sales of SAS affected auto manufacturer in US and elsewhere totaled approx. \$58.7 M during relevant period.  Defendants' sales of HID Ballasts affected auto manufacturer in US and elsewhere totaled approx. \$51.4 M during relevant period.	\$45.8 M / Aug. 6, 2013	4 Individuals listed in Attachment A (filed under seal)	USDC, Eastern District of Michigan Southern Division 2:13-cr-20540-SJM-MAR
12	Manufacturer of Starter Motors, Alternators, Air Flow Meters, Valve Timing Control Devices, Fuel Injection Systems, Electronic Throttle Bodies, Ignition Coils, Inverters, and Motor Generators / Tokyo, Japan  <i>* Also charged on Aug. 9, 2016</i>	Starter Motors  Alternators  Air Flow Meters  Valve Timing Control Devices  Fuel Injection Systems  Electronic Throttle Bodies  Ignition Coils  Inverters  Motor Generators	Information Sept. 26, 2013	Bid Rigging  Customer, Territorial or Market Allocation – Horizontal  Other Restraint of Trade  Price Fixing – Horizontal	One Count - Conspiracy to Restrain Trade	Jan. 2000 - Feb. 2010	Defendants' sales of starter motors, alternators, air flow meters, valve timing control devices, fuel injection systems, electronic throttle bodies, and ignition coils to auto manufacturers in US and elsewhere totaled approx. \$650 M during relevant period.	\$195 M / Nov. 7, 2013	6 Individuals listed in Attachment A (filed under seal)	USDC, Eastern District of Michigan Southern Division 2:13-cr-20707-MOB-LJM

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13	Manufacturer of Starter Motors, Alternators, and Ignition Coils / Tokyo, Japan	Starter Motors Alternators Ignition Coils	Information Sept. 26, 2013	Bid Rigging  Other Restraint of Trade  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade	Jan. 2000 - Feb. 2010	Defendants' sales of starter motors, alternators, and ignition coils affected auto manufacturer in US and elsewhere totaled approx. \$678 M during relevant period.	\$190 M / Nov. 6, 2013	5 Individuals listed in Attachment A (filed under seal)	USDC, Eastern District of Michigan Southern Division 2:13-cr-20710-RHC-MAR
14	Manufacturer of Windshield Washer and Wiper Systems, Starter Motors, Power Window Motors, and Fan Motors / Gunma, Japan	Windshield Washer and Wiper Systems Starter Motors Power Window Motors Fan Motors	Information Sept. 26, 2013	Bid Rigging  Customer, Territorial or Market Allocation – Horizontal  Obstruction of Justice  Other Restraint of Trade  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade  One-Count Obstruction of Justice	Jan. 2000 - Feb. 2010	Defendants' sales of windshield washer and wiper systems, starter motors, power window motors, and fan motors affected auto manufacturer in US and elsewhere totaled approx. \$2.4 B during relevant period.	\$135 M / Nov. 6, 2013	5 Individuals listed in Attachment A (filed under seal)	USDC, Eastern District of Michigan Southern Division 2:13-cr-20712-PJD-DRG
15	Manufacturer of Bearings Electric Powered Steering Assemblies (EPSAs) / Osaka, Japan	Bearings Electric Powered Steering Assemblies	Information Sept. 26, 2013	Bid Rigging  Customer, Territorial or Market Allocation – Horizontal  Other Restraint of Trade  Price Fixing - Horizontal	Two-Counts - Conspiracy to Restrain Trade	Bearings 2000 - July 2011  EPSAs 2005 - Oct. 2011	Defendants' sales of bearings affected US auto manufacturer totaled approx. \$395 M during relevant period.  Defendants' sales of brake EPSAs affected US auto manufacturer totaled approx. \$8.4 M during relevant period.	\$103.27 M / July 9, 2014	3 Individuals listed in Attachment A (filed under seal)	USDC, Southern District of Ohio Western Division 1:13-cr-00104-HJW
16	Manufacturer of Occupant Safety Systems (Seatbelts) / Tokyo, Japan	Occupant Safety Systems (Seatbelts)	Information Oct. 9, 2013	Bid Rigging  Other Restraint of Trade  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade	Jan. 2003 - Feb. 2011	Defendants' sales of HCPs affected auto manufacturers in US totaled approx. \$318 M during relevant period.	\$71.3 M / Dec. 5, 2013	1 Individual listed in the Plea Agreement; and 7 individuals listed in Attachment A (filed under seal)	USDC, Eastern District of Michigan Southern Division 2:13-cr-20741-GCS-PJK

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17	Manufacturer of Bearings / Tokyo, Japan	Bearings	Information Sept. 26, 2013	Bid Rigging  Customer, Territorial or Market Allocation – Horizontal  Other Restraint of Trade  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade	2000 - July 2011	Defendants' sales of bearings affected auto manufacturer in US and elsewhere totaled approx. \$355 M during relevant period.	\$68.2 M / July 8, 2014	2 Individuals listed in Attachment A (filed under seal)	USDC, Southern District of Ohio Western Division 1:13-cr-00103-HJW
18	Manufacturer of Compressors and Condensers / Tokyo, Japan	Compressors  Condensers	Information Sept. 26, 2013	Bid Rigging  Customer, Territorial or Market Allocation – Horizontal  Other Restraint of Trade  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade	Jan. 2001 - Feb. 2010	Defendants' sales of compressors and condenser affected auto manufacturer in US totaled approx. \$41.5 M during relevant period.	\$14.5 M / Nov. 6, 2013	1 Individual listed in Attachment A (filed under seal)	USDC, Eastern District of Michigan Southern Division 2:13-cr-20711-AJT-LJM
19	Manufacturer of Radiators and Automatic Transmission Fluid Warmers / Tokyo, Japan	Radiators  Automatic Transmission Fluid Warmers	Information Sept. 26, 2013	Bid Rigging  Customer, Territorial or Market Allocation – Horizontal  Other Restraint of Trade  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade	Nov. 2002 - Feb. 2010	Defendants' sales of radiators affected auto manufacturers in US and elsewhere totaled approx. \$46 M during relevant period.  *information re: ATF Warmers not available in Plea Agreement	\$13.75 M / Nov. 13, 2013	2 Individuals listed in Attachment A (filed under seal)	USDC, Eastern District of Michigan Southern Division 2:13-cr-20708-GER-LJM
20	Manufacturer of Air Conditioning Systems (ACSs) / Saitama, Japan	Air Conditioning Systems	Information Sept. 26, 2013	Bid Rigging  Customer, Territorial or Market Allocation – Horizontal  Other Restraint of Trade  Price Fixing - Horizontal	One Count - Conspiracy to Restrain Trade	April 2006 - Feb. 2010	Defendants' sales of ACSs affected auto manufacturers in US and elsewhere totaled approx. \$32 M during relevant period.	\$13.6 M / Nov. 5, 2013	2 Individuals listed in Attachment A (filed under seal)	USDC, Eastern District of Michigan Southern Division 2:13-cr-20713-GCS-PJK



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21	Manufacturer of Anti-Vibrational Rubber Parts (AVRPs) / Saitama, Japan	Anti-Vibrational Rubber Parts	Information Sept. 26, 2013	Bid Rigging  Price Fixing - Horizontal	One Count - Conspiracy to Restrain Trade	April 2003 - May 2012	Defendants' sales of AVRPs affected auto manufacturers in US and elsewhere totaled approx. \$40 M during relevant period.	\$11 M / Oct. 21, 2013	1 Individual listed in the Plea Agreement; and 1 individual listed in Attachment A (filed under seal)	USDC, Northern District of Ohio Western Division 3:13-cr-00439-JJH
22	Manufacturer of HID Lamp Ballasts / Tokyo, Japan	HID Lamp Ballasts	Information Nov. 27, 2013	Bid Rigging  Other Restraint of Trade  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade	July 1998 - Feb. 2010	Information not available in Plea Agreement	\$1.44 M / Jan. 23, 2014	1 Individual listed in Attachment A (filed under seal)	USDC, Eastern District of Michigan Southern Division 2:13-cr-20884-AJT-RSW
23	Manufacturer of Lighting Fixtures and HID Lamp Ballasts / Tokyo, Japan	Lighting Fixtures  HID Lamp Ballasts	Information Jan. 16, 2014	Bid Rigging  Other Restraint of Trade  Price Fixing - Horizontal	Two-Counts - Conspiracy to Restrain Trade	Lighting Fixtures June 1997 - July 2001  HID Lamp Ballasts July 1998 - Feb. 2010	Defendants' sales of lighting fixtures affected US auto manufacturer totaled approx. \$183.1 M during relevant period.  *Information not available for HID Lamp Ballasts	\$56.6 M / Feb. 11, 2014	3 Individuals listed in Attachment A (filed under seal)	USDC, Eastern District of Michigan Southern Division 2:14-cr-20021-MOB-LJM
24	Manufacturer of Electronic Throttle Bodies (ETBs) / Obu, Japan	Electronic Throttle Bodies	Information Feb. 3, 2014	Bid Rigging  Other Restraint of Trade  Price Fixing - Horizontal	One Count - Conspiracy to Restrain Trade	Oct. 2003 - Feb. 2010	Defendants' sales of ETBs affected auto manufacturers in US totaled approx. \$35 M during relevant period.	\$6.86 M / March 5, 2014	1 Individual listed in Attachment A (filed under seal)	USDC, Eastern District of Michigan Southern Division 2:14-cr-20047-MOB-MAR
25	Manufacturer of Anti-Vibrational Rubber Parts (AVRP) and Constant Velocity Joint Boots (CVJB) / Osaka, Japan	Anti-Vibrational Rubber Parts  Constant Velocity Joint Boots	Information Feb. 6, 2014	Bid Rigging  Other Restraint of Trade  Price Fixing - Horizontal	Two-Counts - Conspiracy to Restrain Trade	AVRPs March 1996 - May 2012  CVJBs Jan. 2006 - Sept. 2010	Defendants' sales of AVRPs affected auto manufacturers in US and elsewhere totaled approx. \$390 M during relevant period.  Defendants' sales of CVJBs affected auto manufacturers in US and elsewhere totaled approx. \$20	\$120 M / Feb. 7, 2014	3 Individuals listed in the Plea Agreement; and 1 individual listed in Attachment A (filed under seal)	USDC, Northern District of Ohio Western Division 3:13-cr-00529-JZ

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							M during relevant period.			
26	Manufacturer of Anti-Vibrational Rubber Parts (AVRPs) / Tokyo, Japan	Anti-Vibrational Rubber Parts	Information Feb. 13, 2014	Bid Rigging  Customer, Territorial or Market Allocation – Horizontal  Price Fixing - Horizontal	One Count - Conspiracy to Restrain Trade	Jan. 2001 - Dec. 2008  *Statute of limitations for charged offense was tolled from May 7, 2013 to March 31, 2014, pursuant to agreement between Defendant and US.	Defendants' sales of AVRPs affected auto manufacturers in US and elsewhere until May 2012 totaled approx. \$750 M.	\$425 M / April 30, 2014	4 Individuals listed in the Plea Agreement	USDC, Northern District of Ohio Western Division 3:14-cr-00068-JZ
27	Manufacturer of Electronic Powered Steering Assemblies (EPSAs) / Saitama, Japan	Electronic Powered Steering Assemblies	Information April 23, 2014	Bid Rigging  Other Restraint of Trade  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade	2007 - Sept. 2012	Defendants' sales of EPSAs affected auto manufacturer in US totaled approx. \$60 M during relevant period.	\$19.9 M / Oct. 21, 2014	1 Individual listed in Attachment A (filed under seal)	USDC, Southern District of Ohio Western Division 1:14-cr-00044-HJW
28	Manufacturers of Polyurethane Foam / Riverside, MO, Chattanooga, TN, and El Paso, TX	Polyurethane Foam	Information June 27, 2014	Other Restraint of Trade  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade	June 9, 2008 - April 20, 2009	Defendants' sales of polyurethane foam affected auto manufacturer in US totaled approx. \$36.6 M during relevant period.	\$6,148,800 - Oct. 3, 2014	Individuals listed in Attachment A (filed under seal)  *Plea Agreement does not specify number of individuals listed.	Eastern District of New York 1:14-cr-00263-WFK

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29	Manufacturer of Spark Plugs, Standard Oxygen Sensors, and Air Fuel Ratio Sensors / Nagoya, Japan	Spark Plugs  Standard Oxygen Sensors  Air Fuel Ratio Sensors	Information Aug. 19, 2014	Bid Rigging  Customer, Territorial or Market Allocation – Horizontal  Other Restraint of Trade  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade	Jan. 2000 - July 2011	Defendants' sales of spark plugs standard oxygen sensors affected auto manufacturer in US and elsewhere totaled approx. \$181 M during relevant period.	\$52.1 M / Oct. 8, 2014	4 Individuals listed in Attachment A (filed under seal)	USDC, Eastern District of Michigan Southern Division 2:14-cr-20494-MOB-DRG
30	Manufacturer of Certain Automotive Hoses, Airbags, and Steering Wheels / Aichi, Japan	Certain Automotive Hoses  Airbags  Steering Wheels	Information Sept. 29, 2014	Bid Rigging  Customer, Territorial or Market Allocation – Horizontal  Other Restraint of Trade  Price Fixing - Horizontal	Two-Counts - Conspiracy to Restrain Trade	Certain Automotive Hoses Feb. 2004 - Sept. 2010  Airbags and Steering Wheels Sept. 2003 - Sept. 2010	Defendants' sales of certain automotive hoses affected auto manufacturers in US totaled approx. \$61 M during relevant period.  Defendants' sales of airbags and steering wheels affected auto manufacturers in US and elsewhere totaled approx. \$3 M during relevant period.	\$26 M / Dec. 16, 2014	Individuals listed in Attachment A (filed under seal)  *Plea Agreement does not specify number of individuals listed.	USDC, Northern District of Ohio Western Division 3:14-cr-00349-JZ
31	Manufacturer of Brake Hose / Tokyo, Japan	Brake Hose	Information Oct. 31, 2014	Bid Rigging  Customer, Territorial or Market Allocation – Horizontal  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade	Nov. 2005 - Sept. 2009	Defendants' sales of brake hose affected auto manufacturer in US and elsewhere totaled approx. \$16.1 M during relevant period.	\$1.25 M / Jan. 23, 2015	Individuals listed in Attachment A (filed under seal)  *Plea Agreement does not specify number of individuals listed.	USDC, Northern District of Ohio Western Division 1:14-cr-00394-JJH
32	Manufacturer of Variable Valve Timing Devices (VVTs) / Kariya, Japan	Variable Valve Timing Devices	Information Nov. 13, 2014	Bid Rigging  Customer, Territorial or Market Allocation – Horizontal  Other Restraint of Trade Price Fixing - Horizontal	One Count - Conspiracy to Restrain Trade	Sept. 2000 - Feb. 2010	Defendants' sales of VVTs affected auto manufacturers in US and elsewhere totaled approx. \$113.6 M during relevant period.	\$35.8 M / Feb. 10, 2015	Individuals listed in Attachment A (filed under seal)  *Plea Agreement does not specify number of individuals listed.	USDC, Southern District of Indiana Indianapolis Division 1:14-cr-00229-JMS-MJD

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33	Manufacturer of Instrument Panel Clusters (IPCs) / Cheongwon, South Korea	Instrument Panel Clusters	Information Nov. 24, 2014	Bid Rigging	One Count - Conspiracy to Restrain Trade	March 2004 - May 2012	Defendants' sales of IPCs affected auto manufacturers in US and elsewhere totaled approx. \$13 M during relevant period.	\$4 M / April 1, 2015	4 Individuals listed in Attachment B (filed under seal)	USDC, Northern District of Georgia Newnan Division 3:14-cr-00019-TCB
34	Manufacturer of Compressors / Gunma, Japan	Compressors	Information Jan. 27, 2015	Bid Rigging  Other Restraint of Trade  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade	Aug. 2008 - April 2009	Defendants' sales of compressors affected auto manufacturer in US totaled approx. \$10,413,191.58 during relevant period.	\$3.2 M / March 31, 2015	1 Individual listed in Attachment A (filed under seal)	USDC, Eastern District of Michigan Southern Division 2:15-cr-20033-LJM-APP
35	Manufacturer of Bearings  Nagano, Japan	Bearings	Information Feb. 2, 2015	Other Restraint of Trade  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade	Early-Mid 2008 - Oct. 2011	Information not available; Plea Agreement not available on DOJ website or case USDC docket.	\$13.5 M / April 24, 2015	2 Individuals listed in Attachment A (filed under seal)	USDC, Southern District of Ohio Western Division 1:15-cr-00016-TSB
36	Manufacturer of Spark Plugs, Oxygen Sensors, and Starter Motors / Gerlingen, Germany	Spark Plugs  Oxygen Sensors  Starter Motors	Information March 31, 2015	Bid Rigging  Customer, Territorial or Market Allocation - Horizontal  Other Restraint of Trade  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade	Spark Plugs and Oxygen Sensors Jan. 2000 - July 2011  Starter Motors Jan. 2009 - June 2010	Defendant's sales of spark plugs and oxygen sensors to DaimlerChrysler AG, Ford Motor Company, General Motors Company and Andreas Stihl AG & Co. in the United States and elsewhere totaled at least \$154 million.	\$57.8 M / June 22, 2016	4 Individuals listed in Attachment A (filed under seal)	USDC, Eastern District of Michigan Southern Division 2:15-cr-20197-GCS-APP
37	Manufacturer of Non-Electric or Non-Hydraulic-Powered) Steering Columns / Kiryu City, Gunma Prefecture, Japan	Non-Electric or Non-Hydraulic-Powered) Steering Columns	Information April 28, 2015	Bid Rigging  Other Restraint of Trade  Price Fixing - Horizontal	One Count - Conspiracy to Restrain Trade	Fall 2007 - Sept. 2012	Defendant's subsidiary sales of steering columns in the United States totaled approx. \$7.36 million	\$2.5 M / June 24, 2015	None listed	USDC, Southern District of Ohio Western Division 1:15-cr-00047-SJD

**APPENDIX A**  
**CORPORATE GUILTY PLEAS IN AUTO PARTS DOJ ANTITRUST INVESTIGATION**

NO.	CORPORATION/ LOCATION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	SALES AFFECTED	FINE / SENTENCE DATE	CARVE-OUT(S)	COURT / CASE NO.
38	Manufacturer of Ceramic Substrates for Automotive Catalytic Converters / Nagoya, Japan	Ceramic Substrates for Automotive Catalytic Converters	Information Sept. 3, 2015	Bid Rigging  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade  One-Count - Obstruction of Justice	July 2000 - Feb. 2010	Defendants' sales of Ceramic Substrates affected auto manufacturers in US totaled approx. \$251 M during relevant period.	\$65.3 M / Nov. 16, 2013	Individuals listed in Attachment A (filed under seal)  *Plea Agreement does not specify number of individuals listed.	USDC, Eastern District of Michigan Southern Division 2:15-cr-20550-BAF-APP
39	Manufacturer of Shock Absorbers / Tokyo, Japan	Shock Absorbers	Information Sept. 16, 2015	Bid Rigging  Customer, Territorial or Market Allocation - Horizontal  Other Restraint of Trade  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade	mid-1990s and continuing until as late as December 2012	Defendants' sales of shock absorbers affected US auto manufacturer totaled approx. \$324 M during relevant period.	\$62 M / Nov. 2, 2015	2 Individuals listed in Paragraph 2 of Attachment B (filed under seal)	USDC, Southern District of Ohio Western Division 1:15-cr-00098-MRB
40	Manufacturer of Certain Plastic Interior Trim / Nagoya, Japan	Certain Plastic Interior Trim	Information Nov. 19, 2015	Bid Rigging  Customer, Territorial or Market Allocation - Horizontal  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade	June 2004 - Sept. 2012	During the relevant period, the volume of commerce attributable to the defendant totaled approximately \$4,528,549	\$2.35 M / Jan. 22, 2016	None listed	USDC, Eastern District of Kentucky Northern Division 2:15-cr-00052-DLB
41	Manufacturer of Power Window and Switches / Komaki, Japan	Power Window Switches	Information March 17, 2016	Bid Rigging	One-Count - Conspiracy to Restrain Trade	June 2003 – Feb. 2013	Information not available in Plea Agreement	\$4.55 M / June 13, 2016	None listed	USDC, Eastern District of Michigan Southern Division 2:16-cr-20182-GCS-APP
42	Manufacturer of Ceramic Substrates used in emission control systems of automobiles / Tokyo, Japan	Ceramic Substrates used in emission control systems of automobiles	Information May 16, 2016	Customer, Territorial or Market Allocation  Bid Rigging  Price Fixing	One Count - Conspiracy to Restrain Trade	July 1999 – July 2011	Defendants' sales of Ceramic Substrates affected auto manufacturers in US totaled approx. \$200 M - \$400 M during relevant period.	\$66.5 M / May 17, 2016	Individuals listed in Attachment A (filed under seal)  *Plea Agreement does not specify number of individuals listed.	USDC, Eastern District of Michigan Southern Division 2:16-cr-20357-MOB-MAR

**APPENDIX A**  
**CORPORATE GUILTY PLEAS IN AUTO PARTS DOJ ANTITRUST INVESTIGATION**

NO.	CORPORATION/ LOCATION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	SALES AFFECTED	FINE / SENTENCE DATE	CARVE-OUT(S)	COURT / CASE NO.
43	Manufacturer of Automotive Steel Tubes / Aichi Prefecture in Japan and Lebanon, Kentucky	Automotive Steel Tubes	Grand Jury Indictment June 15, 2016	Bid Rigging  Customer, Territorial or Market Allocation - Horizontal  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade	Dec. 2003 - July 9, 2011	Defendants' sales of steel tube engine parts in Japan affecting customers in US totaled approx. \$18.78 M during relevant period.	\$12 M / May 31, 2018	1 Individual listed in the Plea Agreement	USDC, Southern District of Ohio Western Division 1:16-cr-00064-SJD
44	Manufacturer of Automotive Body Sealing Products / Obu, Japan	Automotive Body Sealing Products	Grand Jury Indictment June 15, 2016	Bid Rigging  Customer, Territorial or Market Allocation  Price Fixing	One-Count - Conspiracy to Restrain Trade	March 2008 – Aug. 2011	Information not available at this time.	Judgment of Acquittal / Dec. 11, 2017	Not applicable	USDC, Southern District of Ohio Western Division 1:16-cr-00063-TSB
45	Manufacturer of Automotive Body Sealing Products / Hiroshima, Japan	Automotive Body Sealing Products	Information July 20, 2016	Bid Rigging  Customer, Territorial or Market Allocation - Horizontal Other Restraint of Trade  Price Fixing - Horizontal	One-Count - Conspiracy to Restrain Trade	Jan. 2000 - Sept. 2012	Defendants' sales of automotive body sealing products affected auto manufacturers in US and elsewhere totaled approx. \$914 M during relevant period.	\$130 M / Sept. 1, 2016	None listed	USDC, Eastern District of Kentucky Northern Division 2:16-cr-00030-ART-CJS
46	Manufacturer of Shock Absorbers / Tokyo, Japan  <i>* Also charged on Sept. 26, 2013</i>	Shock Absorbers	Information Aug. 9, 2016	Bid Rigging  Customer, Territorial or Market Allocation – Horizontal  Other Restraint of Trade Price Fixing – Horizontal	One Count - Conspiracy to Restrain Trade	Mid-1990s - Summer 2011	Information not available at this time.	\$55.48 M / Feb. 17, 2017	Plea Agreement and Attachment A filed under seal	USDC, Southern District of Ohio Western Division 1: 16-cr-00078-MRB

**APPENDIX A**  
**CORPORATE GUILTY PLEAS IN AUTO PARTS DOJ ANTITRUST INVESTIGATION**

NO.	CORPORATION/ LOCATION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	SALES AFFECTED	FINE / SENTENCE DATE	CARVE-OUT(S)	COURT / CASE NO.
47	Manufacturer of Automotive Access Mechanisms / Yokohama, Japan	Automotive Access Mechanisms	Information Sept. 15, 2016	Bid Rigging  Customer, Territorial or Market Allocation - Horizontal  Other Restraint of Trade  Price Fixing – Horizontal	One Count - Conspiracy to Restrain Trade	2002 - Sept. 2011	Defendant's sales of certain access mechanisms to a car manufacturing company in US and elsewhere totaled approx. \$109 M during relevant period.	\$9 M / Dec. 14, 2016	Individuals listed in Attachment A (filed under seal)  *Plea Agreement does not specify number of individuals listed.	USDC, Eastern District of Michigan Southern Division 2:16-cr-20627-MOB-MAR
48	Manufacturer of Automotive Steel Tubes / Shimizu, Japan	Automotive Steel Tubes	Information Nov. 8, 2016	Bid Rigging  Customer, Territorial or Market Allocation - Horizontal  Other Restraint of Trade  Price Fixing - Horizontal	One Count - Conspiracy to Restrain Trade	Dec. 2003 - July 9, 2011	Information not available at this time.	\$7.2 M / June 29, 2017	Plea Agreement and Attachments A and B filed under seal	USDC, Southern District of Ohio Western Division 1:16-cr-00106-TSB
49	Manufacturer of Side-door Latches and Latch Minimodules / Heiligenhaus, Germany	Side-door Latches and Latch Minimodules	Information March 7, 2017	Bid Rigging  Customer, Territorial or Market Allocation - Horizontal  Other Restraint of Trade  Price Fixing - Horizontal	One Count - Conspiracy to Restrain Trade	Sept. 2008 - May 2013	Defendant's sales of side-door latches and minimodules in US totaled approx. \$19.2 M during relevant period.	\$6.1 M / June 12, 2017	Individual listed in Attachment A (filed under seal)  *Plea Agreement does not specify number of individuals listed.	USDC, Eastern District of Michigan Southern Division 2:17-cr-20139-NGE-EAS

**APPENDIX B**  
**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
1	<p>Junichi Funo</p> <p>2003: Sales Representative in a Sales Division of a wire harness company</p> <p>2003 – 09: Assistant General Manager in a Sales Division of US subsidiary of a wire harness company</p> <p>2009: Manager of a Sales Division of a wire harness division</p>	Wire Harness	Information (September 29, 2011)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, automotive wire harnesses and related products sold to automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Apr. 2003 to July 2009	Plea Agreement (October 24, 2011)	12 months 1 day \$20,000 / March 1, 2012	USDC, Eastern District of Michigan Southern Division No. 11-cr-20614
2	<p>Hirotsugu Nagata</p> <p>2004 – 07: General Manager of Sales of US subsidiary of a wire harness company</p> <p>2004 – 09: Marketing Manager of US subsidiary of a wire harness company</p> <p>2004 – 09: Chief Financial Officer of US subsidiary of a wire harness company</p>	Wire Harness	Information (September 29, 2011)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, automotive wire harnesses and related products sold to automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Jan. 2004 to June 2009	Plea Agreement (October 24, 2011)	15 months \$20,000 / January 11, 2012	USDC, Eastern District of Michigan Southern Division No. 11-cr-20615
3	<p>Tetsuya Ukai</p> <p>2003 – 05: Manager in Sales Division of a wire harness company</p> <p>2005 – 07: Unit Chief in Sales Division of a wire harness company</p> <p>2007 – 09: General Manager of a Sales Division of a wire harness company</p>	Wire Harness	Information (September 29, 2011)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, automotive wire harnesses and related products sold to automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Apr. 2003 to July 2009	Plea Agreement (November 10, 2011)	18 months \$20,000 / November 10, 2011	USDC, Eastern District of Michigan Southern Division No. 11-cr-20613



**APPENDIX B**  
**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
4	<p>Tsuneaki Hanamura</p> <p>2000 – 05: Branch Manager for Sales at US subsidiary of a wire harness company</p> <p>2005 – 06: Deputy Division Manager of a Business Unit of a wire harness company</p> <p>2006 – 10: Division Sales Manager of a Business Unit of a wire harness company</p>	Wire Harness	Information (January 30, 2012)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, automotive wire harnesses and related products sold to certain automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Jan. 2000 to Feb. 2010	Plea Agreement (March 26, 2012)	24 months \$20,000 / March 26, 2012	USDC, Eastern District of Michigan Southern Division No. 12-cr-20065
5	<p>Ryoji Kawai</p> <p>2000 – 04: Director for Sales at US subsidiary of a wire harness company</p> <p>2004 – 10: Vice Division Head of a Business Unit of a wire harness company</p>	Wire Harness	Information (January 30, 2012)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, automotive wire harnesses and related products sold to certain automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Jan. 2000 to Feb. 2010	Plea Agreement (March 26, 2012)	24 months \$20,000 / March 26, 2012	USDC, Eastern District of Michigan Southern Division No. 12-cr-20066
6	<p>Shigeru Ogawa</p> <p>2002 – 04: Assistant Section Manager a Business Unit of a wire harness company</p> <p>2004 – 05: Section Manager of a Business Unit of a wire harness company</p> <p>2005 – 09: Branch Manager for Sales at US subsidiary of a wire harness company</p> <p>2009 – 10: Director at US subsidiary of a wire harness company</p>	Wire Harness	Information (January 30, 2012)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, automotive wire harnesses and related products sold to certain automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Jan. 2002 to Feb. 2010	Plea Agreement (March 26, 2012)	15 months \$20,000 / March 26, 2012	USDC, Eastern District of Michigan Southern Division No. 12-cr-20068

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**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
7	Hisamitsu Takada 2003 – 04: Assistant Manager of a Business Unit of a wire harness company  2004 – 09: Director at US subsidiary of a wire harness company  2009 – 10: Manager of #3 Sales Department of a Business Unit of a wire harness company	Wire Harness	Information (January 30, 2012)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, automotive wire harnesses and related products sold to certain automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Sept. 2003 to Feb. 2010	Plea Agreement (March 26, 2012)	15 months \$20,000 / March 26, 2012	USDC, Eastern District of Michigan Southern Division No. 12-cr-20067
8	Norihiro Imai  2006 – 08: Sales Representative in a Sales Division of a heater control panels company  2008 – 09: Assistant Manager of a Sales Division of a heater control panels company	Heater Control Panels (HCPs)	Information (March 26, 2012)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, HCPs sold to an automobile manufacturer in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Aug. 2006 to June 2009	Plea Agreement (May 16, 2012)	12 months 1 day \$20,000 / August 28, 2012	USDC, Eastern District of Michigan Southern Division No. 12-cr-20185
9	Makoto Hattori  2005 – 06: Assistant Manager in a Sales Division of a heater control panels company  2006 – 08: Manager in a Sales Division of a heater control panels company	Heater Control Panels (HCPs)	Information (April 26, 2012)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, HCPs sold to an automobile manufacturer in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	July 2005 to July 2008	Plea Agreement (June 27, 2012)	14 months \$20,000 / October 10, 2012	USDC, Eastern District of Michigan Southern Division No. 12-cr-20271

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**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
10	Kazuhiko Kashimoto  2000 – 02: Assistant Branch Manager for Sales at US subsidiary of a wire harness company  2002: Assistant to the Manager of a Business Unit of a wire harness company  2002 – 04: Team Leader of a Business Unit of a wire harness company  2004 – 07: Manager of a Business Unit of a wire harness company	Wire Harness	Information (June 6, 2012)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, automotive wire harnesses and related products sold to certain automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Jan. 2000 to Sept. 2007	Plea Agreement (September 26, 2012)	14 months \$20,000 / September 26, 2012	USDC, Eastern District of Michigan Southern Division No. 12-cr-20382
11	Toshio Sudo  2003 – 09: Manager in a Sales Department of an instrument panel clusters company	Instrument Panel Clusters (IPCs)	Information (August 16, 2012)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, IPCs sold to certain automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Jan. 2003 to Feb. 2009	Plea Agreement (September 26, 2012)	14 months \$20,000 / September 26, 2012	USDC, Eastern District of Michigan Southern Division No. 12-cr-20548
12	Hiroshi Yoshida  2005 – 11: Management-level employee in Sales Department at US subsidiary of an anti-vibration rubber parts company	Anti-Vibration Rubber Parts (AVRPs)	Information (October 30, 2012)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, AVRPs sold to automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Oct. 2005 to June 2011	Plea Agreement (November 16, 2012)	12 months 1 day \$20,000 / December 20, 2012	USDC, Northern District of Ohio Western Division No. 12-cr-00515

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**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
13	Yuji Suzuki  2005: Manager of a Sales Division of an electronic control units and heater control panels company  2006: Project Leader of a Sales Division of an electronic control units and heater control panels company  2007 – 08: Senior Manager of a Sales Division of an electronic control units and heater control panels company	Electronic Control Units (ECUs)  Heater Control Panels (HCPs)	Information (May 21, 2013)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, ECUs sold to Toyota in the United States and elsewhere.	Two Counts - Conspiracy to Restrain Trade	Aug. 2005 to Dec. 2008 (ECUs)  July 2005 to Dec. 2008 (HCPs)	Plea Agreement (August 5, 2013)	16 months \$20,000 / August 5, 2013	USDC, Eastern District of Michigan Southern Division No. 13-cr-20382
14	Hiroshi Watanabe  2008 – 10: Group Leader in a Sales Division of a heater control panels company	Heater Control Panels (HCPs)	Information (May 21, 2013)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, HCPs sold to an automobile manufacturer in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	June 2008 to Feb. 2010	Plea Agreement (August 5, 2013)	15 months \$20,000 / August 5, 2013	USDC, Eastern District of Michigan Southern Division No. 13-cr-20381
15	Takayoshi Matsunaga  Vice President of a Global Business Unit of an occupant safety systems company	Occupant Safety Systems (Seatbelts)	Information (July 16, 2013)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, certain seatbelts sold to an automobile manufacturer in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	May 2008 to Feb. 2011	Plea Agreement (September 25, 2013)	12 months 1 day \$20,000 / September 25, 2013	USDC, Eastern District of Michigan Southern Division No. 13-cr-20523
16	Shingo Okuda  2003 – 09: Executive Director of Sales of a speed sensor wire assemblies company  2009 – 10: Manager in Engineering Division Manager of a speed sensor wire assemblies company	Speed Sensor Wire Assemblies	Indictment (September 11, 2013)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, speed sensor wire assemblies, also known as ABS skid sensor wires, sold to an automobile manufacturer in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Jan. 2003 to Feb. 2010	Plea Agreement (July 31, 2014)	13 months \$20,000 / July 31, 2014	USDC, Eastern District of Kentucky Northern Division No. 12-cr-00051

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**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
17	Ryoji Fukudome  2001 – 06: General Manager of Automotive Global Marketing Department of a wire harness company	Wire Harness	Indictment (September 19, 2013)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, automotive wire harnesses and related products sold to an automobile manufacturer in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Sep. 2005 to Feb. 2010	—	—	USDC, Eastern District of Michigan Southern Division No. 13-cr-20689
18	Toshihiko Nagashima  1994 – 96: Manager of the Wire Harness Center of a wire harness company  2006 – 09: General Manager of Automotive Global Marketing Department of a wire harness company	Wire Harness	Indictment (September 19, 2013)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, automotive wire harnesses and related products sold to an automobile manufacturer in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Sep. 2005 to Feb. 2010	—	—	USDC, Eastern District of Michigan Southern Division No. 13-cr-20689
19	Shinichi Kotani  2004 – 08: Group Manager of a Sales Group of an automotive parts company  2008 – 09: Vice President of Automotive Systems Group at US subsidiary of an automotive parts company  2009: Director of Global Auto Marketing and Sales of an automotive parts company	Steering Wheel Switches  Turn Switches  Wiper Switches  Combination Switches  Steering Angle Sensors	Indictment (September 24, 2013)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, switches and steering angle sensors sold to Toyota in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Jan. 2004 to Feb. 2010	—	—	USDC, Eastern District of Michigan Southern Division No. 13-cr-20700
20	Tetsuya Kunida  2001 – 12: Sales of automotive anti-vibration rubber products at US subsidiary of an anti-vibration rubber parts company	Anti-Vibration Rubber Parts	Information (September 26, 2013)	Conspiracy to rig bids for, and to fix, raise, and maintain the prices of, automotive anti-vibration rubber products sold to automobile and component manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Nov. 2001 to May 2012	Plea Agreement July 1, 2014	12 months 1 day \$20,000 / July 1, 2014	USDC, Northern District of Ohio Western Division No. 13-cr-00438

**APPENDIX B**  
**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
21	Gary Walker  2003 – 06: Director of Sales for Transplant Customer Seatbelt Products at US subsidiary of an occupant safety systems company  2006 – 10: Director of Transplant Customer Product Sales at US subsidiary of an occupant safety systems company	Occupant Safety Systems (Seatbelts)	Information (September 26, 2013)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, seatbelts sold to affected OEMs in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Jan. 2003 to Feb. 2010	Plea Agreement (November 13, 2013)	14 months \$20,000 / November 13, 2013	USDC, Eastern District of Michigan Southern Division No. 13-cr-20709
22	Masao Hayashi  1990s – 2005: General Manager of an anti-vibrational rubber parts company  2007 – 09: Deputy Director of an anti-vibrational rubber parts company  2009 – 10: President at US subsidiary of an anti-vibrational rubber parts company	Anti-Vibration Rubber Parts	Indictment (November 20, 2013)	Conspiracy to allocate the supply of, to rig bids for, and to fix, raise, and maintain the prices of, automotive anti-vibration rubber products sold to an automobile manufacturer in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Mar. 1996 to Dec. 2008	—	—	USDC, Northern District of Ohio Western Division No. 13-cr-00514
23	Kenya Nonoyama  1990s – 2005: Group Leader in Sales Division of an anti-vibrational rubber parts company  2005 – 08: General Manager of Sales Division of an anti-vibrational rubber parts company  2008 – 09: General Manager of Sales Planning and Administration of an anti-vibrational rubber parts company	Anti-Vibration Rubber Parts	Indictment (November 20, 2013)	Conspiracy to allocate the supply of, to rig bids for, and to fix, raise, and maintain the prices of, automotive anti-vibration rubber products sold to an automobile manufacturer in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Mar. 1996 to Dec. 2008	—	—	USDC, Northern District of Ohio Western Division No. 13-cr-00514

**APPENDIX B**  
**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
24	<p>Yasuhiko Ueno</p> <p>2006 – 07: President of Sales for Transplant Sales Department at US subsidiary of occupant safety systems company</p> <p>2008 – 09: Deputy Director of Customer Relations Division of occupant safety systems company</p> <p>2009 – 11: Director of Customer Relations Division of occupant safety systems company</p>	Occupant Safety Systems (Seatbelts)	Information (November 21, 2013)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, certain seatbelts sold to automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Jan. 2006 to Feb. 2011	Plea Agreement (April 7, 2014)	19 months \$20,000 / April 7, 2014	USDC, Eastern District of Michigan Southern Division No. 13-cr-20869
25	<p>Saburo Imamiya</p> <p>2008 – 09: General Manager for Customer Relations Department Nos. 1 and 2 of an occupant safety systems company</p> <p>2009 – 11: Director of Customer Relations Division and General Manager for Customer Relations Department No. 1 of an occupant safety systems company</p>	Occupant Safety Systems (Seatbelts)	Information (November 21, 2013)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, certain seatbelts sold to the automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Jan. 2008 to Feb. 2011	Plea Agreement (March 20, 2014)	16 months \$20,000 / March 20, 2014	USDC, Eastern District of Michigan Southern Division No. 13-cr-20871

**APPENDIX B**  
**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
26	Yoshinobu Fujino  2004 – 05: Manager in Customer Relations Division of an occupant safety systems company  2005 – 07: Manager in Customer Relations Division at an occupant safety systems company  2008 – 11: Assistant Vice President of Sales for Transplant Sales Department at US subsidiary of an occupant safety systems company	Occupant Safety Systems (Seatbelts)	Information (November 21, 2013)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, certain seatbelts sold to the automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Jan. 2004 to Feb. 2011	Plea Agreement (April 7, 2014)	14 months \$20,000 / April 7, 2014	USDC, Eastern District of Michigan Southern Division No. 13-cr-20870
27	Shigehiko Ikenaga  2003 – 10: President of an ignition coils company	Ignition Coils	Information (January 31, 2014)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, ignition coils sold to automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	July 2003 to Feb. 2010	Plea Agreement (March 10, 2014)	16 months \$5,000 / March 10, 2014	USDC, Eastern District of Michigan Southern Division No. 14-cr-20045
28	Tatsuo Ikenaga  2003 – 08: Managing Director of an ignition coils company  2008 – 10: Vice President of an ignition coils company  2003 – 10: President at US subsidiary of an ignition coils company	Ignition Coils	Information (January 31, 2014)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, ignition coils sold to automobile manufacturers in the United States and elsewhere.		July 2003 to Feb. 2010	Plea Agreement (March 10, 2014)	13 months \$5,000 / March 10, 2014	USDC, Eastern District of Michigan Southern Division No. 14-cr-20046
29	Kazuaki Fujitani  2010 : General Manager in Sales Division of a heater control panel company  2010 – 13: Director of a heater control panel company	Heater Control Panels (HCPs)	Superseding Information (February 25, 2014)	Impairing records, documents or other objects by altering, destroying, for concealment of their use in an official proceeding	One Count - Impairing records, documents or other objects by altering, destroying, for concealment of their use in an official proceeding	Feb. 24, 2010 – Mar. 2010	Plea Agreement (March 11, 2014)	12 months 1 day None / March 11, 2014	USDC, Eastern District of Michigan Southern Division No. 14-cr-20087



**APPENDIX B**  
**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
30	<p>Yasuo Ryuto</p> <p>1991 – 99: Manager in a Sales Division of an automotive anti-vibration rubber products company</p> <p>2001 – 03: General Manager in a Sales Division of an automotive anti-vibration rubber products company</p> <p>2004 – 07: Director in a Sales Division of an automotive anti-vibration rubber products company</p> <p>2007 – 08: Director in Industrial Products and Construction Materials Division of an automotive anti-vibration rubber products company</p>	Anti-Vibration Rubber Parts	Indictment (April 15, 2014)	Conspiracy to allocate sales of, to rig bids for, and to fix, raise, and maintain the prices of automotive anti-vibration rubber products, and to sell those products at collusive and noncompetitive prices to automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Apr. 2001 to May 2008	—	—	USDC, Northern District of Ohio Western Division No. 14-cr-00138
31	<p>Isao Yoshida</p> <p>1997 – 2003: Manager in Sales Division of an automotive anti-vibration rubber products company</p> <p>2004 – 08: General Manager in Sales Division of an automotive anti-vibration rubber products company</p>	Anti-Vibration Rubber Parts	Indictment (April 15, 2014)	Conspiracy to allocate sales of, to rig bids for, and to fix, raise, and maintain the prices of automotive anti-vibration rubber products, and to sell those products at collusive and noncompetitive prices to automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Jan. 2001 to July 2008	—	—	USDC, Northern District of Ohio Western Division No. 14-cr-00138

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**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
32	<p>Yoshiyuki Tanaka</p> <p>1991 – 2001: Staff Member in Sales Division of an automotive anti-vibration rubber products company</p> <p>2004 – 08: Manager in Sales Division of an automotive anti-vibration rubber products company</p> <p>2008 – 11: Executive Vice-President at US subsidiary of an automotive anti-vibration rubber products company</p>	Anti-Vibration Rubber Parts	Indictment (April 15, 2014)	Conspiracy to allocate sales of, to rig bids for, and to fix, raise, and maintain the prices of automotive anti-vibration rubber products, and to sell those products at collusive and noncompetitive prices to automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Jan. 2004 to June 2008	—	—	USDC, Northern District of Ohio Western Division No. 14-cr-00138
33	<p>Yusuke Shimasaki</p> <p>2001 – 04: Manager in Sales Division of an anti-vibration rubber products company</p> <p>2004 – 08: Executive Vice President oat US subsidiary of an anti-vibration rubber products company</p> <p>2008: General Manager of an anti-vibration rubber products company</p>	Anti-Vibration Rubber Parts	Information (April 16, 2014)	Conspiracy to rig bids for, and to fix, raise, and maintain the prices of automotive anti-vibration rubber products, and to sell those products at collusive and noncompetitive prices to automobile and component manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Jan. 2001 to Dec. 2008	Plea Agreement (July 14, 2014)	18 months \$20,000 / July 14, 2014	USDC, Northern District of Ohio Western Division No. 14-cr-00139

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**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
34	Hitoshi Hirano  2003 – 05: General Manager in Sales Division 1 of a heater control panels company  2005 – 09: Director of Sales Division 1 of a heater control panels company  2009 – 10: Executive Managing Director of Sales Division 1 of a heater control panels company	Heater Control Panels (HCPs)	Indictment (May 22, 2014)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, heater control panels sold to an automobile manufacturer in the United States and elsewhere.  Corruptly persuaded, and attempted to persuade, employees of an automotive parts company, to destroy objects, namely paper documents, and delete electronic data that may contain evidence of antitrust crimes in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade  One Count - Obstruction of Justice	Oct. 2003 to Feb. 2010	—	—	USDC, Eastern District of Michigan Southern Division No. 14-cr-20293
35	Gikou Nakajima  2005 – 09: Director of Customer Relations Division of an occupant safety systems company  2009 – unknown Consultant to an occupant safety systems company	Occupant Safety Systems (Seatbelts)	Indictment (June 5, 2014)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, seatbelts sold to automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Sep. 2005 to June 2009	—	—	USDC, Eastern District of Michigan Southern Division No. 14-cr-20324
36	Satoru Horisaki  2009 – 10: Group Leader of an instrument panels clusters company	Instrument Panel Clusters	Information (June 27, 2014)	Conspiracy to allocate the supply of, to rig bids for, and to fix, stabilize, and maintain the prices of, instrument panel clusters to be sold to an automobile manufacturer in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	2009 to Feb. 2010	Plea Agreement (July 10, 2014)	12 months 1 day \$20,000 / July 10, 2014	USDC, Eastern District of Michigan Southern Division No. 14-cr-20372

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**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
37	<p>Atsushi Ueda</p> <p>2000 – 01: General Manager of Automotive Equipment Marketing Group of an automotive parts company</p> <p>2001 – 06: Senior Vice President in Sales and Marketing Division of an automotive parts company</p> <p>2006 – 08: President of Automotive Equipment Group of an automotive parts company</p> <p>2008 – 10: Advisor to an automotive parts company</p>	<p>Starter Motors</p> <p>Alternators</p> <p>Ignition Coils</p>	<p>Indictment (September 18, 2014)</p>	<p>Conspiracy to rig bids for, and fix, stabilize, and maintain the prices of, automotive parts sold to automobile manufacturers in the United States and elsewhere.</p>	<p>One Count - Conspiracy to Restrain Trade</p>	<p>Jan. 2000 to Feb. 2010</p>	—	—	<p>USDC, Eastern District of Michigan Southern Division No. 14-cr-20560</p>
38	<p>Minoru Kurisaki</p> <p>2000 – 05: Vice President of Sales and later President at US subsidiary of an automotive parts company</p> <p>2005 – 06: Deputy General Manager of Automotive Equipment Marketing Division of an automotive parts company</p> <p>2006 – 10: General Manager of an automotive parts company</p>	<p>Starter Motors</p> <p>Alternators</p> <p>Ignition Coils</p>	<p>Indictment (September 18, 2014)</p>	<p>Conspiracy to rig bids for, and fix, stabilize, and maintain the prices of, automotive parts sold to automobile manufacturers in the United States and elsewhere.</p> <p>Corruptly persuade or attempt to corruptly persuade other persons with intent to cause or induce those other persons to destroy or conceal records and documents with the intent to impair their integrity and availability for use in an official proceeding.</p>	<p>One Count - Conspiracy to Restrain Trade</p> <p>One Count – Conspiracy to Obstruct Justice</p>	<p>Jan. 2000 to Feb. 2010</p>	—	—	<p>USDC, Eastern District of Michigan Southern Division No. 14-cr-20560</p>

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**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
39	Hideyuki Saito  2000: Employee in Strategic Planning Section of an automotive parts company  2000 – 04: Employee in labor union within an automotive parts company  2004 – 06: Employee in Strategic Planning Section of an automotive parts company  2006 – 10: Section Manager of Strategic Planning Section of an automotive parts company	Starter Motors  Alternators  Ignition Coils	Indictment (September 18, 2014)	Conspiracy to rig bids for, and fix, stabilize, and maintain the prices of, automotive parts sold to automobile manufacturers in the United States and elsewhere.  Corruptly persuade or attempt to corruptly persuade other persons with intent to cause or induce those other persons to destroy or conceal records and documents with the intent to impair their integrity and availability for use in an official proceeding.	One Count - Conspiracy to Restrain Trade  Two Counts – Conspiracy to Obstruct Justice	Jan. 2000 to Feb. 2010	–	–	USDC, Eastern District of Michigan Southern Division No. 14-cr-20560
40	Takashi Toyokuni  2000 – 01: President's Staff Member at US subsidiary of an automotive parts company  2001 – 04: Manager in Business Planning Department of an automotive parts company  2004 – 08: Director of Business Planning Department of an automotive parts company  2008 – 10: Employee in Auto Parts and Services of an automotive parts company	Starter Motors  Alternators  Air Flow Meters  Valve Timing Control Devices  Fuel Injection Systems  Electronic Throttle Bodies  Ignition Coils	Indictment (September 18, 2014)	Conspiracy to allocate the supply of, rig bids for, and fix, stabilize, and maintain the prices of, automotive parts sold to automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	2000 to Feb. 2010	Plea Agreement (April 23, 2015)	15 months \$20,000 / April 23, 2015	USDC, Eastern District of Michigan Southern Division No. 14-cr-20559

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**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
41	Ken Funasaki  2000 – 01: Manager in Business Planning Department of an automotive parts company  2001 – 05: Director of Business Planning Department of an automotive parts company  2005 – 06: Executive Vice President and General Manager of an automotive parts company  2006 – 10: President of an automotive parts company	Starter Motors  Alternators  Air Flow Meters  Valve Timing Control Devices  Fuel Injection Systems  Electronic Throttle Bodies  Ignition Coils	Indictment (September 18, 2014)	Conspiracy to allocate the supply of, rig bids for, and fix, stabilize, and maintain the prices of, automotive parts sold to automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	2000 to Feb. 2010	—	—	USDC, Eastern District of Michigan Southern Division No. 14-cr-20559
42	Kazunobu Tsunekawa  2003: Assistant Manager in Business Planning Department of an automotive parts company  2003 – 05: Manager in Business Planning Department of an automotive parts company  2005 – 09: President's Staff Member of an automotive parts company  2009 – 10: Chief Project Manager of an automotive parts company	Starter Motors  Alternators  Air Flow Meters  Valve Timing Control Devices  Fuel Injection Systems  Electronic Throttle Bodies  Ignition Coils	Indictment (September 18, 2014)	Conspiracy to allocate the supply of, rig bids for, and fix, stabilize, and maintain the prices of, automotive parts sold to automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	2003 to Feb. 2010	—	—	USDC, Eastern District of Michigan Southern Division No. 14-cr-20559

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**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
43	<p>Tomiya Itakura</p> <p>2007 - : Director of Engine Management Systems Unit in Business Planning Department of an automotive parts company</p> <p>- 2010: General Manager of Business Planning Department of an automotive parts company</p>	<p>Starter Motors</p> <p>Alternators</p> <p>Air Flow Meters</p> <p>Valve Timing Control Devices</p> <p>Fuel Injection Systems</p> <p>Electronic Throttle Bodies</p> <p>Ignition Coils</p>	<p>Indictment (September 18, 2014)</p>	<p>Conspiracy to allocate the supply of, rig bids for, and fix, stabilize, and maintain the prices of, automotive parts sold to automobile manufacturers in the United States and elsewhere.</p>	<p>One Count - Conspiracy to Restrain Trade</p>	<p>Jan. 2007 to Feb. 2010</p>	—	—	<p>USDC, Eastern District of Michigan Southern Division No. 14-cr-20559</p>
44	<p>Akira Wada</p> <p>2003 – 09: Manager and later General Manager in Sales Department 1 of an electronic powered steering assemblies company</p> <p>2013 - : Director and Operating Officer of an electronic powered steering assemblies company</p>	<p>Electronic Powered Steering Assemblies</p>	<p>Indictment (October 15, 2014)</p>	<p>Conspiracy to allocate markets and to fix, stabilize, and maintain the prices of pinion-assist type electric powered steering assemblies sold to an automobile manufacturer in the United States and elsewhere.</p>	<p>One Count - Conspiracy to Restrain Trade</p>	<p>2007 to Sep. 2012</p>	—	—	<p>USDC, Southern District of Ohio Western Division No. 14-cr-00104</p>
45	<p>Hiroya Hirose</p> <p>2006 – 09: Group Manager in Sales Division of a bearings company.</p> <p>2009 – 11: General Manager in a branch of a bearings company.</p>	<p>Bearings</p>	<p>Indictment (November 13, 2014)</p>	<p>Conspiracy to allocate markets, rig bids for, and to fix, stabilize, and maintain the prices of bearings sold to an automobile manufacturer in the United States and elsewhere.</p>	<p>One Count - Conspiracy to Restrain Trade</p>	<p>2006 to July 2011</p>	—	—	<p>USDC, Eastern District of Kentucky Northern Division No. 14-cr-00049</p>
46	<p>Masakazu Iwami</p> <p>1999 – 07: Section Manager and later General Manager in a branch of a bearings company.</p> <p>2007 – 09: Vice Branch Manager in a branch of a bearings company.</p>	<p>Bearings</p>	<p>Indictment (November 13, 2014)</p>	<p>Conspiracy to allocate markets, rig bids for, and to fix, stabilize, and maintain the prices of bearings sold to an automobile manufacturer in the United States and elsewhere.</p>	<p>One Count - Conspiracy to Restrain Trade</p>	<p>2001 to July 2011</p>	—	—	<p>USDC, Eastern District of Kentucky Northern Division No. 14-cr-00049</p>

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**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
47	Kazumi Umahashi  General Manager in Sales Division of an automotive parts company	Windshield Wiper and Washer Systems  Starter Motors	Information (December 1, 2014)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, certain automotive parts sold to an automobile manufacturer and certain of its subsidiaries, affiliates, suppliers, and others in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	June 2005 to Dec. 2009	Plea Agreement (December 9, 2014)	13 months \$20,000 / December 9, 2014	USDC, Eastern District of Michigan Southern Division No. 14-cr-20760
48	Kosei Tamura  2002 – 05: Section Manager in Sales Division of a radiator company  2005 – 10: General Manager of a radiator company	Radiators	Information (December 1, 2014)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, radiators sold to an automobile manufacturer in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Nov. 2002 to Feb. 2010	Plea Agreement (December 9, 2014)	12 months 1 day \$20,000 / December 9, 2014	USDC, Eastern District of Michigan Southern Division No. 14-cr-20759
49	Makoto Horie  2007: Vice President of Sales at US subsidiary of a hose company  2008 – 10: Senior Vice President of Sales at US subsidiary of a hose company  2010: General Manager of Sales at US subsidiary of a hose company	Hoses	Information (January 6, 2015)	Conspiracy to allocate sales of, to rig bids for, and to fix, stabilize, and maintain the prices of certain automotive hoses sold to an automobile manufacturer in the United States.	One Count - Conspiracy to Restrain Trade	Mar. 2007 to Sept. 2010	Plea Agreement (February 26, 2015)	12 months 1 day \$20,000 / February 26, 2015	USDC, Northern District of Ohio Western Division No. 15-cr-00003
50	Hiromu Usuda  2005 – 08: Group Manager and Department Manager for Sales in Customer Relations Division of occupant safety systems company  2008 – 11: Department Manager in Sales Division of occupant safety systems company	Occupant Safety Systems (Seatbelts)	Indictment (January 22, 2015)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, seatbelts sold to automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Jan. 2005 to Feb. 2011	—	—	USDC, Eastern District of Michigan Southern Division No. 15-cr-20029



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**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
51	Hiroyuki Komiya  2000 – 07: Director of Sales Division of a windshield wiper and washer systems company  2007 – 10: Executive Managing Officer and Vice President of Sales Division of a windshield wiper and washer systems company	Windshield Wiper and Washer Systems	Indictment (February 5, 2015)	Conspiracy to allocate the supply of, rig bids for, and fix, stabilize, and maintain the prices of, automotive parts sold to automobile manufacturers in the United States and elsewhere.  Corruptly persuade or attempt to corruptly persuade other employees of an automobile manufacturer, to destroy objects, namely paper documents, and delete electronic data that may contain evidence of antitrust crimes in the United States and elsewhere	One Count - Conspiracy to Restrain Trade  One Count - Conspiracy to Obstruct Justice	Apr. 2000 to Feb. 2010	—	—	USDC, Eastern District of Michigan Southern Division No. 15-cr-20059
52	Hirofumi Nakayama  2000 – 05: Office Manager for Sales Office of a windshield wiper and washer systems company  2005 – 10: Operating Officer in Sales Division of a windshield wiper and washer systems company	Windshield Wiper and Washer Systems	Indictment (February 5, 2015)	Conspiracy to allocate the supply of, rig bids for, and fix, stabilize, and maintain the prices of, automotive parts sold to automobile manufacturers in the United States and elsewhere.  Corruptly persuade or attempt to corruptly persuade other employees of an automobile manufacturer, to destroy objects, namely paper documents, and delete electronic data that may contain evidence of antitrust crimes in the United States and elsewhere	One Count - Conspiracy to Restrain Trade  One Count – Conspiracy to Obstruct Justice	Apr. 2000 to Feb. 2010	—	—	USDC, Eastern District of Michigan Southern Division No. 15-cr-20059
53	Michitaka Sakuma  2003 – 05: General Manager of Sales Division of a radiator company  2005 – 10: Executive Managing Director of Sales Division and Board of Directors member of a radiator company	Radiators	Indictment (May 14, 2015)	Conspiracy to rig bids for, and to fix, stabilize, and maintain the prices of, radiators sold to two automobile manufacturers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Oct. 2003 to Feb. 2010	—	—	USDC, Eastern District of Michigan Southern Division No. 15-cr-20290

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**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
54	<p>Norio Teranishi</p> <p>2000 – 06: Employed in Sales Office later General Manager of Sales Office of automotive parts company</p> <p>2006: General Manager of OEM Sales and Marketing Division of automotive parts company</p> <p>2007 – 09: Deputy General Manager of Sales Division of automotive parts company</p> <p>2009 – 10: Employee in Administrative Department branch office of automotive parts company</p> <p>2010 – 11: Deputy General Manager of Sales and later as General Manager of Sales; also became Vice-Head of Automotive Component Group of automotive parts company</p>	<p>Spark Plugs</p> <p>Oxygen Sensors</p> <p>Air Fuel Ratio Sensors</p>	<p>Indictment (May 21, 2015)</p>	<p>Conspiracy to allocate the supply of, rig bids for, and fix, stabilize, and maintain the prices of, automotive parts sold to automobile manufacturers in the United States and elsewhere.</p>	<p>One Count - Conspiracy to Restrain Trade</p>	<p>Jan. 2000 to July 2011</p>	<p>—</p>	<p>—</p>	<p>USDC, Eastern District of Michigan Southern Division No. 15-cr-20306</p>
55	<p>Hisashi Nakanishi</p> <p>2000 – 02: Employee in Europe Division of automotive parts company</p> <p>2002 – 06: Assistant General Manager in OEM Sales and Marketing Division of automotive parts company</p> <p>2006 – 11: Managing Director of Europe Division of automotive parts company</p> <p>2011: Employee in OEM Sales and Marketing Department of automotive parts company</p>	<p>Spark Plugs</p> <p>Oxygen Sensors</p> <p>Air Fuel Ratio Sensors</p>	<p>Indictment (May 21, 2015)</p>	<p>Conspiracy to allocate the supply of, rig bids for, and fix, stabilize, and maintain the prices of automotive parts sold to automobile manufacturers in the United States and elsewhere.</p>	<p>One Count - Conspiracy to Restrain Trade</p>	<p>Jan. 2000 to July 2011</p>	<p>—</p>	<p>—</p>	<p>USDC, Eastern District of Michigan Southern Division No. 15-cr-20306</p>

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**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
56	Keiji Kyomoto  2003 – 04: Vice President of Japan/US joint venture of automotive body sealing products company  2004 – 11: President of Japan/US joint venture of automotive body sealing products company	Automotive Body Sealing Products	Indictment (Oct. 8, 2015)	Conspiracy to allocate the supply of, rig bids for, and fix, stabilize, and maintain the prices of automotive body sealing products sold in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Sept. 2003 to Oct. 2011	Binding Plea Agreement Supplement Plea Agreement (April 20, 2016)	18 months \$20,000 / April 20, 2016	USDC, Eastern District of Kentucky Northern Division No. 15-cr-00044
57	Mikio Katsumaru  2003 – 05: Sales Branch Manager and Deputy Manager of Sales and Marketing Division of automotive body sealing products company  2005 – 08: Sales General Manager of Automotive Division of automotive body sealing products company  2008 – 11: Manager of Sales and Marketing Division of automotive body sealing products company  2003 – 08: Director of automotive body sealing products company  2008 – 12: Managing Director of Sales and Marketing Division of automotive body sealing products company	Automotive Body Sealing Products	Indictment (Oct. 8, 2015)  Indictment (September 21, 2016)	Conspiracy to allocate the supply of, rig bids for, and fix, stabilize, and maintain the prices of automotive body sealing products sold in the United States and elsewhere.  Attempted obstruction of justice by intimidation or force against witness.	One Count - Conspiracy to Restrain Trade  One Count – Obstruction of Federal Investigation	Sept. 2003 to Oct. 2011  June 2008 to Sept. 2012	–  Information not available at this time.	–  Information not available at this time.	USDC, Eastern District of Kentucky Northern Division No. 15-cr-00044  USDC, Eastern District of Michigan Southern Division No. 16-cr-20641

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**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
58	Yuji Kuroda 2003 – 11: Sales Branch Manager of automotive body sealing products company	Automotive Body Sealing Products	Indictment (Oct. 8, 2015)	Conspiracy to allocate the supply of, rig bids for, and fix, stabilize, and maintain the prices of automotive body sealing products sold in the United States and elsewhere.  Instructed and encouraged certain employees to destroy evidence of the conspiracy.	One Count - Conspiracy to Restrain Trade	Sept. 2003 to Oct. 2011	—	—	USDC, Eastern District of Kentucky Northern Division No. 15-cr-00044
59	Nobuhiko Niwa  1999 – 2011: Director and Senior Director of Mobile Emissions Division of Japanese subsidiary of ceramic substrates company	Ceramic Substrates	Indictment (May 11, 2016)	Conspiracy to allocate customers and market shares, rig bids, and fix prices of substrates sold in the United States and elsewhere and used in the emission control systems of vehicles manufactured by automobile manufacturers and their subsidiaries, affiliates, and suppliers in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	July 1999 to July 2011	—	—	USDC, Eastern District of Michigan Southern Division No. 16-cr-20341
60	Akitada Tazumi  2008 – 11: Assistant General Manager of automotive body sealing products company	Automotive Body Sealing Products	Indictment (June 15, 2016)	Conspiracy to allocate sales of, rig bids for, and fix, stabilize, and maintain the prices of, automotive parts sold to automotive body sealing products sold to an automobile manufacturer in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Mar. 2008 to Aug. 2011	Information not available at this time.	Information not available at this time.	USDC, Southern District of Ohio Western Division No. 16-cr-00063
61	Tadao Hirade  2003 – 10: Assistant General Manager of Sales Group No. 2 and General Manager of Sales Division 2 of automotive steel tubes company  2010 – 11: Employee at automotive steel tubes company	Automotive Steel Tubes	Indictment (June 15, 2016)	Conspiracy to fix prices, allocate customers, and rig bids for automotive steel tubes sold in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Dec. 2003 to July 2011	Indictment dismissed with prejudice and arrest warrant terminated and withdrawn. / May 31, 2018	Not applicable	USDC, Southern District of Ohio Western Division No. 16-cr-00064

**APPENDIX B**  
**GUILTY PLEA OF INDIVIDUALS IN AUTO PARTS ANTITRUST INVESTIGATION**

NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
62	Satoru Murai  2001 – 11: Managing Director and then Senior Managing Director at automotive steel tubes company	Automotive Steel Tubes	Indictment (June 15, 2016)	Conspiracy to fix prices, allocate customers, and rig bids for automotive steel tubes sold in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Dec. 2003 to July 2011	Information not available at this time.	Information not available at this time.	USDC, Southern District of Ohio Western Division No. 16-cr-00064
63	Kazunori Kobayashi  2002 – 06: Sales Coordinator at US subsidiary of automotive steel tubes company  2007 – 09: General Manager of Sales Group No. 1 and then General Manager for Sales Division No. 2 of automotive steel tubes company  2009 – 11: Employee at automotive steel tubes company	Automotive Steel Tubes	Indictment (June 15, 2016)	Conspiracy to fix prices, allocate customers, and rig bids for automotive steel tubes sold in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Dec. 2003 to July 2011	Indictment dismissed with prejudice and arrest warrant terminated and withdrawn / May 31, 2018	Not applicable	USDC, Southern District of Ohio Western Division No. 16-cr-00064
64	Yoshihiro Shigematsu  2003 – 05: Chief in Sales Group No. 1 and Sales Group No. 2 of automotive steel tubes company  2006 – 10: Sales Coordinator at US subsidiary of automotive steel tubes company  2010 – 11: Employee at automotive steel tubes company	Automotive Steel Tubes	Indictment (June 15, 2016)	Conspiracy to fix prices, allocate customers, and rig bids for automotive steel tubes sold in the United States and elsewhere.	One Count - Conspiracy to Restrain Trade	Dec. 2003 to July 2011	Indictment dismissed with prejudice and arrest warrant terminated and withdrawn / May 31, 2018	Not applicable	USDC, Southern District of Ohio Western Division No. 16-cr-00064

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NO.	INDIVIDUAL / POSITION	AUTOMOTIVE PART	CHARGES FILED	CHARGE / VIOLATION	COUNTS	CONSPIRACY / RELEVANT PERIOD	CONVICTION DATE	SENTENCE / DATE	COURT / CASE NO.
65	<p>Futoshi Higashida</p> <p>2008 – 11: Manager of Business Administration and Marketing Department of automotive body sealing products company</p> <p>2011: Vice President of US subsidiary of automotive body sealing products company</p> <p>2011 – 12: President of US subsidiary of automotive body sealing products company</p>	Automotive Body Sealing Products	Indictment (September 21, 2016)	<p>Conspiracy to obstruct a federal investigation by destruction, alternation and falsification of records of a matter within US jurisdiction.</p> <p>Attempted obstruction of justice by intimidation or force against witness.</p>	<p>One Count – Obstruction of Federal Investigation</p> <p>One Count – Attempted Obstruction of Justice</p>	June 2008 to Sept. 2012	Plea Agreement	14 months / \$7,500 / February 2, 2017	USDC, Eastern District of Michigan Southern Division No. 16-cr-20641