

(Form 20-2)

1. Status of the person who has submitted an application regarding Specially Permitted Businesses for Qualified Institutional Investors, etc. (SPBQII)

(As of mm/dd/yyyy)

Representative		Business category		Principal business office			URL of website	Type of other business to be conducted by person to notify	Amount of capital or total contribution
Name of Applicant	Title	Private placement	Investment management	Name	Address	Phone			

2. Status of the rights specified in Article 2(2)(v) or (vi) of the FIEA related to SPBQII

(As of mm/dd/yyyy)

Name of the Invested Business Equity	Type of the Invested Business Equity	Details of the Invested Business (Type of instrument) (Contents)		Type of business		Type of the QII	Number of the QII	Existence of investors other than QII	Existence of persons specified under the items of Article 233-3	Name or name of business of the certified public accountant or auditing firm
		Private placement and/or investment management	Type of notification							

3. Officers and employees specified under a Cabinet Order

(As of mm/dd/yyyy)

Personal or company name:	Organizational position	Type of the employee specified by a Cabinet Order

4. Status of the sales branch or business office where SPBQII is operated

(As of mm/dd/yyyy)

Name	Location	Phone